

Control structures, third lecture

Declarative programming: getting rid of control?

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2024-02-08

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A movement that appears in the 1960's (LISP, APL) and grows throughout the 1970's (Prolog) and 1980's (purely functional languages, reactive languages, ...).

Idea: programs should describe what needs to be computed much more than each and every step of the computation.

This results in languages that avoid exposing memory (mutable state) and control (sequencing of computations) to programmers.

The hope is not only to facilitate the writing of programs, but also their execution in parallel. (More declarative = fewer dependencies = more parallelism?)

Spreadsheets: expressions with sharing

Arithmetic expressions with variables:

$$e ::= 0 | 1.2 | 3.1415 | \dots$$
 constants
 $| x | y | z | \dots$ variables
 $| f(e_1, \dots, e_n)$ operations (+, -, ×, /, Σ, etc)

Programs are sets of equations variable = expression:

$$p ::= \{x_1 = e_1; \ldots; x_n = e_n\}$$

Spreadsheets

	A	В	С
1	Date	Income	Expenses
2	2005-12-17	235 €	128
3	2005-12-18	311€	124
4	2005-12-19	457€	466
5	2005-12-20	232€	132
6	2005-12-21	122€	134
7	2005-12-22	128€	223
8	2005-12-23	432€	218
9	2005-12-24	256 €	121
10		2.173 €	1.546
11			
12	Avg. Profit	=AVERAC	GE(D2:D9)

ComputerHope.com

A visual display of a set of equations:

 $B2 = 235 \quad C2 = 128 \quad \dots \quad B9 = 256 \quad C9 = 121$ $D2 = B2 - C2 \quad \dots \quad D9 = B9 - C9$ $B10 = SUM(B2, \dots, B9) \qquad C10 = SUM(C2, \dots, C9) \qquad B12 = AVG(D2, \dots, D9)$

To guarantee that programs can always be evaluated, we rule out equations x = e where e depends on x, directly or indirectly:

$${x = x^2 - 1} \times {x = y + 1; y = x - 1} \times$$

This acyclicity condition holds if and only if we can write the program as an ordered list

$$x_1 = e_1; \ldots; x_n = e_n$$

where the only variables that can occur in e_i are the x_i with j < i.

Alternate presentation: combinational circuits



Alternate presentation: the dependency DAG



We reduce the program by repeatedly applying the following rules anywhere in the right-hand sides of equations:

$$x
ightarrow e ext{ if } x = e ext{ is an equation}$$
 $f(\mathsf{v}_1,\ldots,\mathsf{v}_n)
ightarrow \mathsf{v} ext{ if } \mathsf{v} = f^*(\mathsf{v}_1,\ldots,\mathsf{v}_n)$

v ranges over numbers. f^* denotes the semantics of operator f, for instance $+^*$ is floating-point addition.

Evaluation stops when the program is in normal form

$$x_1 = v_1; \ldots x_n = v_n.$$

Example:

$$\{x = 1; y = x + x\} \rightarrow \{x = 1; y = 1 + x\} \rightarrow \{x = 1; y = 1 + 1\} \rightarrow \{x = 1; y = 2\}$$

We can apply reduction rules in different orders:

$$\{x = 1 + 1; y = x + 2\} \longrightarrow \{x = 2; y = x + 2\} \longrightarrow \cdots$$
$$\{x = 1 + 1; y = (1 + 1) + 2\} \longrightarrow \cdots$$

All reduction sequences terminate on the same normal form (confluence property).

Some reduction sequences are more costly than others! Example: $\{x_0 = 1; x_1 = x_0 + x_0; ...; x_n = x_{n-1} + x_{n-1}\}.$

"Call by name" strategy: we substitute before evaluating

$$\{x_0 = 1; x_1 = 1 + 1; x_2 = (1 + 1) + (1 + 1); x_3 = x_2 + x_2; \ldots\}$$

Some intermediate states have size $\mathcal{O}(2^n)$.

"Call by value" strategy: we evaluate before substituting

$$\{x_0 = 1; x_1 = 2; x_2 = 4; x_3 = x_2 + x_2; \ldots\}$$

All intermediate states have size O(n).

Substitute variables by values only:

$$x \rightarrow v$$
 if $x = v$ is an equation

If the program is represented as an ordered list, this strategy can be implemented as an evaluation function:

$$\texttt{eval}(arepsilon) = arepsilon$$

 $\texttt{eval}(x = e; p) = (x = v; \texttt{eval}(p[x \leftarrow v]))$ where $v = \texttt{eval}(e)$

A conditional expression does not need to evaluate all its arguments: it is "non-strict".

The "call-by-value" strategy can perform useless computations. Example:

$$\{x = e; y = E; z = ifO(x - x, x, y)\}$$

No need to evaluate the costly expression E to find the value of z.

 \rightarrow Let's use a "call-by-need" / "lazy" strategy.

A kind of call-by-name with memoization: if x = e, *e* is evaluated the first time *x*'s value is needed; its value is reused the next times *x*'s value is needed.

Easy to express on the dependency DAG, using graph rewriting, where operation nodes are progressively replaced by their values.



Reactive programming

A stream: a sequence of values $v(0), v(1), \ldots, v(t), \ldots$ indexed by discrete time *t*.

Arithmetic operations extend pointwise to streams, e.g.

	<i>t</i> = 0	t = 1	t = 2	t = 3	t = 4	<i>t</i> = 5
Х	<i>x</i> ₀	<i>x</i> ₁	<i>x</i> ₂	<i>X</i> ₃	X4	X 5
1	1	1	1	1	1	1
<i>x</i> + 1	<i>x</i> ₀ + 1	<i>x</i> ₁ + 1	<i>x</i> ₂ + 1	<i>x</i> ₃ + 1	$x_4 + 1$	<i>x</i> ₅ + 1

Give access to the values of a stream at an earlier time.

v fby **e** (read: followed by) is the constant **v** at time 0; is e(t) at time t + 1; \approx the cons constructor for infinite lists.

Example:

	t = 0	<i>t</i> = 1	t = 2	t = 3	t = 4	<i>t</i> = 5
X	1	2	3	4	5	6
0 fby X	0	1	2	3	4	5
0 fby 0 fby x	0	0	1	2	3	4

Derived operators:

$$pre(e) \equiv None fby e$$

is $e(t)$ at time $t + 1$; is undefined at time 0.

 $e_1 \rightarrow e_2 \equiv \text{if (true fby false) then } e_1 \text{ else } \text{pre}(e_2)$ is $e_1(0)$ at time 0 and $e_2(t)$ at time t + 1.

Example:

	t = 0	<i>t</i> = 1	t = 2	<i>t</i> = 3	<i>t</i> = 4	<i>t</i> = 5
Х	0	-1	-2	-3	-4	-5
У	1	2	3	4	5	6
pre(y)	None	1	2	3	4	5
true fby false	true	false	false	false	false	false
$x \rightarrow y$	0	1	2	3	4	5

Stream equations

(Lustre (P. Caspi, N. Halbwachs, 1985), Scade, Simulink.)

A reactive program is a set of equations over streams $\{x_1 = e_1; \ldots; x_n = e_n\}.$

Example: $\{x = 0 \text{ fby } 1 + x\}$

	t = 0	t = 1	t = 2	t = 3	<i>t</i> = 4	t = 5
Х	0	1	2	3	4	5
1 + <i>x</i>	1	2	3	4	5	6
0 fby $1+x$	0	1	2	3	4	5

Example: $\{sum = 0 \text{ fby } in + sum\}$ where in is an input stream.

	t = 0	<i>t</i> = 1	t = 2	<i>t</i> = 3	t = 4
in	i ₀	i ₁	i ₂	i ₃	i ₄
sum	0	i _o	$i_{0} + i_{1}$	$i_0 + i_1 + i_2$	$i_0 + i_1 + i_2 + i_3$

The fby operator plays the role of a memory element (flip-flop, latch, etc) in a synchronous circuit.



In Simulink and in Scade, block diagrams like the above are a graphical notation for sets of stream equations.

In an equation x = e, the right-hand side e must not depend (directly or indirectly) on the current value of x, but may depend on previous values of x.

In other words: every dependency cycle must cross a temporal operator at least once.

Examples:

 $\{x = x + 1\} \times \{x = y; y = x\} \times \{x = 0 \text{ fby } x + 1\} \checkmark \{x = 0 \text{ fby } y; y = x + 1\} \checkmark$

The denotational semantics of the program $\{...; x_i = e_i; ...\}$ is the solution to the equations $x_i = e_i$ (an assignment of streams to variables x_i), provided this solution exists and is unique.

Examples:

 $\begin{aligned} &\{x=x+1\} & \text{no solution} \\ &\{x=y; y=x\} & \text{many solutions} \\ &\{x=0 \text{ fby } x+1\} & \text{unique solution } x=0,1,2,3,4,\ldots. \end{aligned}$

Theorem: every causal program has a unique solution.

(Can be proved using metric spaces and the Banach-Tarski fixed-point theorem.)

Operational semantics

(P. Caspi, D. Pilaud, N. Halbwachs, J. A. Plaice, *LUSTRE: A declarative language for programming synchronous systems*, POPL 1987.)

Given a program $P = \{x_i = e_i\}$, we can evaluate it at time 0 by "projecting" is equations

$$P(0) \stackrel{def}{=} \{x_i(0) = now(e_i)\}$$

where $\mathit{now}: \mathsf{stream} \ \mathsf{expression} \rightarrow \mathsf{value} \ \mathsf{expression}$ is defined as

 $now(v) = v \qquad now(x) = x(0)$ $now(v \text{ fby } e) = v \quad now(f(\dots, e_i, \dots)) = f(\dots, now(e_i), \dots)$

The program P(0) is an acyclic spreadsheet (if P is causal). Evaluating it determines $x_1(0), \ldots, x_n(0)$. We then build the residual program

$$\mathsf{P}' \stackrel{def}{=} \{x_i = later(e_i)\}$$

where $\textit{later}: stream expression \rightarrow stream expression$ is defined as

later(v) = v later(x) = x later(v fby e) = v' fby e where v' is the value of e at time 0 $later(f(\ldots, e_i, \ldots)) = f(\ldots, later(e_i), \ldots)$

We iterate execution with P', then P'', then ...

→ sequences of values $x_i(t)$ for i = 1, ..., n and $t \in \mathbb{N}$ which are solutions of the stream equations $x_i = e_i$. Every causal program can be put in the following normal form (by adding variables and equations as needed):

 $m_{1} = v_{1} \text{ fby } f_{1} \qquad (\text{memory registers})$ \vdots $m_{k} = v_{k} \text{ fby } f_{k}$ $x_{1} = e_{1} \qquad (\text{wires})$ \vdots $x_{n} = e_{n}$

Expressions e_i and f_j are instantaneous (no fby).

 e_i depends only on $m_1, \ldots, m_k, e_1, \ldots e_{i-1}$ ("backward" deps.).

 f_j depends only on $m_j, \ldots, m_k, e_1, \ldots, e_n$ ("forward" deps.).

From the normalized form, it is easy to produce imperative code that implements the reactive program.

// initialization of the memory registers $m_1 = V_1; \ldots; m_k = V_k;$ while (true) { // acquiring the inputs in = read_input(); // computing the values of the wires $X_1 = e_1; \ldots; X_n = e_n;$ // producing the outputs write_output(X_n); // updating the memory registers $m_1 = f_1; \ldots; m_k = f_k$ }

Functional programming

An applicative language with "second-class" functions

Start again from spreadsheets (equations var = expr) and add optional parameters to the variables.

Example: Fibonacci's sequence, as defined in textbooks.

$$Fn = if n = 0 then 0 else$$

if n = 1 then 1 else
$$F(n-1) + F(n-2)$$

x = F20

Or, closer to the usual iterative algorithm,

$$G n a b = if n = 0$$
 then a else $G (n - 1) b (a + b)$
 $F n = G n 0 1$
 $x = F 20$

Expressions:

$$e ::= 0 | 1.2 | ... \\ | x \\ | op(e_1, ..., e_n) \\ | if e_1 then e_2 else e_3 \\ | F e_1 \cdots e_n$$

constants variables built-in operations conditional function application

Definitions:

$$def ::= x = e$$
$$| F x_1 \cdots x_n = e$$

variable definition function definition

Programs:

This applicative language contains Kleene's partial recursive functions. It is therefore Turing-complete.

Assuming given the basic operations over tapes, we can easily transcribe a given Turing machine as a program in our language: each state of the machine becomes a function taking the tape as argument.

 $\begin{array}{rcl} S_1 \,t &=& \text{if } \operatorname{read}(t) = \textbf{A} \mbox{ then } S_2 \left(\operatorname{left}(\operatorname{write}(\textbf{C},t)) \mbox{ else} \right. \\ && \mbox{ if } \operatorname{read}(t) = \textbf{B} \mbox{ then } S_3 \left(\operatorname{right}(\operatorname{write}(\textbf{A},t)) \mbox{ else } \right. . . \\ S_2 \,t &=& \dots \\ S_3 \,t &=& \dots \end{array}$

Conditionals are built in the language. They are expression if cond then e_1 else e_2 instead of commands like in Algol.

Loops are presented as tail-recursive functions, e.g.

G n a b = if n = 0 then a else G(n-1) b (a+b)

is the loop while $(n \neq 0)$ {n = n - 1; (a, b) = (b, a + b); }

"goto" jumps are presented as function calls in tail position, e.g. (note: non-reducible CFG!)

Fn = if n < 0 then Odd(-n) else Even n Even n = if n = 0 then true else Odd(n - 1)Odd n = if n = 0 then false else Even(n - 1)

A functional language with functions as first-class values

Add the expression $\lambda x.e$, "the function that associates e to x". We no longer need to distinguish function names and variable names.

Expressions:

 $e ::= 0 | 1.2 | \dots$ constants| xvariables| opbuilt-in operations $| if e_1$ then e_2 else e_3 conditional $| \lambda x.e$ function abstraction $| e_1 e_2$ function application

Programs:

$$P ::= x_1 = e_1; \ldots; x_n = e_n$$

Notation: $f x_1 \ldots x_n = e$ stands for $f = \lambda x_1 \ldots \lambda x_n$. e

Provide new ways to compose and reuse sub-programs. Examples:

Compose f g	=	$\lambda x. f(g x)$
Expfn	=	if $n=0$ then $\lambda x.x$ else
		Compose $f(Exp f(n-1))$
lter stop step x	=	if stop x then x else Iter stop step (step x)
Mapf ℓ	=	if $\ell={\tt nil}$ then nil else
		$ ext{cons} \left(f ext{ (head } \ell) ight) \left(\textit{Map} f ext{ (tail } \ell) ight)$
Foldl f a ℓ	=	if $\ell = {\tt nil}$ then a else
		Foldl f (f a (head ℓ)) (tail ℓ)

Reduction to lambda-calculus with constants

We can reduce our functional language to untyped lambda-calculus with constants

 $e ::= \operatorname{cst} | x | \lambda x. e | e_1 e_2$

via a few encodings:

• Non-recursive definitions:

let $x = e_1$ in $e_2 \rightsquigarrow (\lambda x.e_2) e_1$

• Single recursive definition:

let rec $f = e_1$ in $e_2 \rightarrow \text{let } f = Fix(\lambda f.e_1)$ in e_2 where Fix is a fixed-point combinator such as $\lambda f. (\lambda x. f(x x)) (\lambda x. f(x x)).$

• Mutually-recursive definitions \rightsquigarrow one let rec + several let.

A function application reduces to the body of the function where the formal parameter is replaced by the actual argument. (β -reduction in the lambda-calculus; "copy rule" in Algol.)

$$(\lambda x. e) e' \rightarrow e\{x \leftarrow e'\}$$

Plus specific rules for constants and operators:

if true then
$$e_1$$
 else $e_2 \rightarrow e_1$
if false then e_1 else $e_2 \rightarrow e_2$
 $op \ cst_1 \ \dots \ cst_n \rightarrow cst$ if $cst = op^*(cst_1, \dots, cst_n)$

Confluence property: all terminating reduction sequences terminate on the same normal form.

However, some reduction sequences can diverge while other sequences terminate, more or less quickly.

Example: if $\Omega \xrightarrow{+} \Omega$, (e.g. let rec $\Omega = \Omega$, i.e. $\Omega = Fix(\lambda f.f)$), $(\lambda x. 0) \Omega \xrightarrow{+} (\lambda x. 0) \Omega \xrightarrow{+} (\lambda x. 0) \Omega \xrightarrow{+} \cdots$ $(\lambda x. 0) \Omega \rightarrow 0$ Call by name: the function argument is substituted unevaluated in the function body.

Avoids divergence, but duplicates computations:

 $(\lambda x. 0) \Omega \rightarrow 0$

 $(\lambda x. x + x) (Fib 20) \rightarrow Fib 20 + Fib 20$ $\xrightarrow{+} 6765 + Fib 20 \xrightarrow{+} 6765 + 6765 \rightarrow 13530$ Call by name: the function argument is substituted unevaluated in the function body.

Call by value: the function argument is reduced to a value before being substituted in the function body.

Avoids duplicate computations, but can cause divergence:

$$(\lambda x. 0) \Omega \xrightarrow{+} (\lambda x. 0) \Omega \xrightarrow{+} (\lambda x. 0) \Omega \xrightarrow{+} \dots$$

 $(\lambda x. x + x) (Fib 20) \xrightarrow{+} (\lambda x. x + x) 6765 \rightarrow 6765 + 6765 \rightarrow 13530$

Call by name: the function argument is substituted unevaluated in the function body.

Call by value: the function argument is reduced to a value before being substituted in the function body.

Call by need ("lazy evaluation"):

like call by name, but using memoized evaluations or, equivalently, using graph rewriting instead of term rewriting.

Avoids divergence without duplicating computations:

 $(\lambda x. 0) \Omega \rightarrow 0$

 $(\lambda x. x + x)$ (Fib 20) \rightarrow Fib 20 + Fib 20 $\stackrel{+}{\rightarrow}$ 6765 + 6765 \rightarrow 13530

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Even if our language is call by value, we can implement a call-by-name semantics by passing arguments e as thunks $\lambda z.e$ (with z not free in e).

(This is "weak reduction": e is not reduced in $\lambda z.e$ before application.)

A systematic program transformation:

$$\mathcal{N}(x) = x ()$$

 $\mathcal{N}(\lambda x.e) = \lambda x. \mathcal{N}(e)$
 $\mathcal{N}(e_1 e_2) = \mathcal{N}(e_1) (\lambda z. \mathcal{N}(e_2))$

We obtain call by need if we use memoized thunks (lazy *e* in OCaml) instead of plain thunks λz . *e*.

Using the continuation-passing style (CPS).

ightarrow Lecture #4.

Logic programming

A program = a set of rules that define predicates.

Running the program = finding the values of variables X_i for which a predicate $p(X_1, ..., X_n)$ holds.

Connections with automated deduction: satisfiability problems; Robinson's resolution algorithm.

Connections with query processing in relational databases.

Horn clauses

A set of statements and hypothetical statements of the form $(\forall \vec{x},) p$ or $(\forall \vec{x},) q_1 \land \dots \land q_n \Rightarrow p$ p, q_i are literals. Variables x_i are implicitly universally quantified. Ex: even(0) or $(\forall n,) odd(n-1) \Rightarrow even(n)$. Prolog syntax:

р

Alternate view: axioms and inference rules in natural deduction.

$$\frac{q_1 \cdots q_n}{p}$$

Literals = predicates over variables (uppercase) and constants (lowercase).

```
parent(tom, sally).
parent(erica, sally).
parent(tom, bart).
parent(martha, tom).
sibling(X, Y) :- parent(Z, X), parent(Z, Y), X != Y.
ancestor(X, Y) :- parent(X, Y).
ancestor(X, Y) :- parent(X, Z), ancestor(Z, Y).
```

Literals = predicates over terms build from variables and constants by constructor application (e.g. the list constructor [X|Y], read "X cons Y").

Example: the permutations of a list.

```
permut([], []).
permut([X|Xs], Ys) :- permut(Xs, Zs), insert(X, Zs, Ys).
```

```
insert(X, Ys, [X|Ys]).
insert(X, [Y|Ys], [Y|Zs]) :- insert(X, Ys, Zs).
```

```
s(S0,S) :- np(S0,S1), vp(S1,S).
np(SO,S) := det(SO,S1), n(S1,S).
vp(S0,S) := tv(S0,S1), np(S1,S).
vp(SO,S) := v(SO,S).
det([the|S],S).
det([a|S],S).
det([every|S],S).
n([man|S],S).
n([woman|S],S).
n([park|S],S).
tv([loves|S],S).
tv([likes|S],S).
v([walks|S],S).
```

- $S \rightarrow NPVP$
- $NP \rightarrow Det N$
- $VP \rightarrow TV NP$
- $VP \rightarrow V$
- $Det \rightarrow the | a | every$
 - $N \rightarrow \text{man} \mid \text{woman} \mid \text{park}$
 - TV \rightarrow loves | likes
 - $V \rightarrow$ walks

By backward reasoning from the user request, repeatedly applying the SLD resolution rule (Kowalski):

to prove the goal $g_1 \wedge \cdots \wedge g_n$: choose a clause $p := q_1, \dots, q_m$ and a unifier θ such that $\theta(p) = \theta(g_i)$; now prove $\theta(g_1 \wedge \cdots \wedge g_{i-1} \wedge q_1 \wedge \cdots \wedge q_m \wedge g_{i+1} \wedge \cdots \wedge g_n)$.

Most implementations use depth-first search, with backtracking on failure.

The program:

```
append([], X, X).
append([H|T], X, [H|U]) :- append(T, X, U).
```

Some queries:

```
?- append([1,2], [3,4,5], [1,X,3,4,Y]).
% X = 2, Y = 5.
?- append([1,2], [3,4,5], X).
% X = [1, 2, 3, 4, 5].
?- append([1,2], X, [1,2,3,4,5]).
% X = [3, 4, 5]
?- append(X, [3,4,5], [1,2,3,4,5]).
% X = [1, 2]
?- append(X, Y, [1,2])
% X = [], Y = [1, 2] ; X = [1], Y = [2] ; X = [1, 2], Y = []
```

Most Prolog implementations use depth-first search without memoization, which can trivially diverge:

p(X) :- p(X). q(X) :- q(f(X)).

Or, less trivially:

```
rstar(X, X).
rstar(X, Y) :- rstar(X, Z), r(Z, Y).
```

(Produces the first result, then diverges.)

Backtracking often causes recomputations that are not necessary, in the sense that they produce no new solutions.

```
list_mem(X, [X|_]).
list_mem(X, [_|Ys]) :- list_mem(X, Ys).
```

```
list_add(X, L, L) :- list_mem(X, L).
list_add(X, L, [X|L]) :- not(list_mem(X, L)).
```

There is only one solution to list_add $(0, [0, \dots, 0], X)$ but *n* different ways to obtain it! \rightarrow Computing all the solutions takes time $\mathcal{O}(n^2)$. The **cut** operator, written "!", gives programmers some control over backtracking.

```
list_add(X, L, L) :- list_mem(X, L), ! .
list_add(X, L, [X|L]) :- not(list_mem(X, L)).
```

Executing the "!" removes the current alternatives for list_add, which are "retry list_mem(X, L)" and "try the second clause of list_add".

Cuts can also express negation:

```
list_add(X, L, L) :- list_mem(X, L), ! .
list_add(X, L, [X|L]).
```

The cut operator: the "goto" of logic programming?

A low-level mechanism; formal semantics is unclear.

Affects not just the running time but also the meaning of programs! ("green cuts" vs. "red cuts"). Example:

Alternatives:

- Special operators such as firstof(*p*).
- Additional control structures such as the conditional literal (p -> q ; r).
- Meta-languages to control the resolution strategy.

Summary

Striking a delicate balance between

describing more what needs to be computed, less how to compute it

and

keeping under control termination and complexity (in time, in space) of programs.

Control structure remain needed, no longer to specify the exact chaining of operations, but to control the general execution strategy.

Reactive languages:

- Declarative AND efficient!
- At the expense of limited expressiveness.

Functional languages:

- A reduction strategy must be fixed in advance.
- Call by value: an intuitive cost model.
- Call by need: better properties; cost model hard to grasp.

Logic languages:

- Programmers need some control over the resolution strategy.
- · How to do this? no consensus.

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