

# Ornaments in Practice

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# Motivation

## Two very similar functions

```
let rec add m n = match m with  
  | Z → n  
  | S m' → S (add m' n)
```

```
let rec append ml nl = match ml with  
  | Nil → nl  
  | Cons(x,ml') → Cons(x,append ml' nl)
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```

## Coherent

`add (length ml) (length nl) = length (append ml nl)`

# Naturals and lists

## Similar types

```
type nat = Z | S of nat  
type  $\alpha$  list = Nil | Cons of  $\alpha \times \alpha$  list
```

```
S ( S ( S ( Z )))  
Cons(1, Cons(2, Cons(3, Nil)))
```

## Projection function

```
let rec length = function  
  | Nil  $\rightarrow$  Z  
  | Cons(x, xs)  $\rightarrow$  S(length xs)
```

# Motivation

## Trees

```
type tree =  
  | LLeaf  
  | LNode of ltree × ltree
```

```
type  $\alpha$  ntree =  
  | NLeaf  
  | NNode of  $\alpha$  ntree ×  $\alpha$   
    ×  $\alpha$  ntree
```

## GADTs

```
type  $\alpha$  list =  
  | Nil  
  | Cons of  $\alpha$  ×  $\alpha$  list
```

```
type ( $\_$ ,  $\alpha$ ) vec =  
  | VNil : (zero,  $\alpha$ ) vec  
  | VCons :  $\alpha$  × ( $n$ ,  $\alpha$ ) vec  
    → ( $n$  succ,  $\alpha$ ) vec
```

```
type zero = Zero    type  $\_$  succ = Succ
```

## Ornaments (McBride,2010; Dagand,2012)

## Ornaments in ML

Ornaments were developed in type theory. Can they be adapted to ML?

Toy implementation: a preprocessor for a small System F-like language with GADTs. It adapts easily to ML: we will assume this in the examples.

# Contents

1. Ornaments in ML
2. Applications
3. Theory

## A syntax for ornaments

An ornament is defined by a *projection function* from the ornamented type to the bare type.

```
let rec length = function  
  | Nil → Z  
  | Cons(x, xs) → S(length xs)
```

The function is subject to some syntactic restrictions to ensure it preserves the recursive structure. They are checked by the system when declaring an ornament:

```
ornament from length :  $\alpha$  list → nat
```



# Lifting functions

## Coherence

`length (append m1 n1) = add (length m1) (length n1)`

# Lifting functions

## Coherence

```
length (append m1 n1) = add (length m1) (length n1)
```

```
project (f_lifted x y) = f (project x) (project y)
```

The function `f_lifted` is a lifting of `f`.

## Syntactic lifting

```
let rec add m n = match m with  
  | Z → n  
  | S m' → S (add m' n)
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let lifting append from add with  
  {length} → {length} → {length}
```

$$\frac{\begin{array}{c} m' \xleftarrow{\text{length}} ml' \\ n \xleftarrow{\text{length}} nl \end{array}}{\text{add } m' \ n \xleftarrow{\text{length}} \text{append } ml' \ nl}$$

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```
let rec append ml nl =
```

$$\frac{\begin{array}{c} m' \xleftarrow{\text{length}} ml' \\ n \xleftarrow{\text{length}} nl \end{array}}{\text{add } m' \ n \xleftarrow{\text{length}} \text{append } ml' \ nl}$$

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$$m \xleftarrow{\text{length}} ml$$

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## Syntactic lifting

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let rec add m n = match m with  
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let rec append ml nl = match ml with
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## Syntactic lifting

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let rec add m n = match m with
```

```
| Z → n
```

```
| S m' → S (add m' n)
```

```
let lifting append from add with
```

```
{length} → {length} → {length}
```

```
let rec append ml nl = match ml with
```

$$m' \xleftarrow{\text{length}} ml'$$
$$n \xleftarrow{\text{length}} nl$$

---

$$\text{add } m' \ n \xleftarrow{\text{length}} \text{append } ml' \ nl$$
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# Syntactic lifting

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let rec add m n = match m with  
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let rec append ml nl = match ml with
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$$Z \xleftarrow{\text{length}} \text{Nil}$$

$$\frac{n \xleftarrow{\text{length}} nl}{S(n) \xleftarrow{\text{length}} \text{Cons}(x, nl)}$$

$$m \xleftarrow{\text{length}} ml$$

$$n \xleftarrow{\text{length}} nl$$

## Syntactic lifting

**let rec** add m n = **match** m **with**

| Z → n

| S m' → S (add m' n)

**let lifting** append **from** add **with**

{length} → {length} → {length}

**let rec** append ml nl = **match** ml **with**

| Nil →

$$\frac{\begin{array}{c} m' \xleftarrow{\text{length}} ml' \\ n \xleftarrow{\text{length}} nl \end{array}}{\text{add } m' \ n \xleftarrow{\text{length}} \text{append } ml' \ nl}$$

Z  $\xleftarrow{\text{length}}$  Nil

n  $\xleftarrow{\text{length}}$  nl

S(n)  $\xleftarrow{\text{length}}$  Cons(x,nl)

m  $\xleftarrow{\text{length}}$  ml

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## Syntactic lifting

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let rec add m n = match m with  
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```
let lifting append from add with  
  {length} → {length} → {length}
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let rec append ml nl = match ml with  
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```

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let rec append ml nl = match ml with  
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# Syntactic lifting

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let rec add m n = match m with  
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let lifting append from add with  
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let rec append ml nl = match ml with  
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  | Cons(x,ml') →  
    Cons( , )
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# Syntactic lifting

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let rec add m n = match m with  
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let rec append ml nl = match ml with  
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```
let rec add m n = match m with
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let lifting append from add with
  {length} → {length} → {length}
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let rec append ml nl = match ml with
  | Nil → nl
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    Cons(?, append ml' nl)
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$$\frac{\begin{array}{c} m \xleftarrow{\text{length}} ml \\ n \xleftarrow{\text{length}} nl \end{array}}{m' \xleftarrow{\text{length}} ml'}$$

## Two phases

### Syntactic lifting

```
let rec append ml nl = match ml with  
  | Nil → nl  
  | Cons(x,ml') → Cons(?, append ml' nl)
```

### The creative part

- ▶ Manually, by intervention of the programmer
- ▶ With a *patch* specifying what should be added where

```
let append from add  
  with {length} → {length} → {length}  
  patch fun _ → match _ with Cons(x, _) → Cons({x}, _)
```

- ▶ Code inference: x makes the most sense here

## Coherence is not enough

```
let rec rev_append ml nl = match ml with  
  | Nil → nl  
  | Cons(x,ml') → rev_append ml' (Cons(x,nl))
```

```
length (rev_append ml nl) = add (length ml) (length nl)
```

The coherence condition is not strong enough to guide the automatic lifting process.

# Contents

1. Ornaments in ML
2. Applications
3. Theory



## Ornaments for refactoring

```
type expr =  
  | Const of int  
  | Add of expr × expr  
  | Mul of expr × expr
```

```
let rec eval = function  
  | Const(i) → i  
  | Add(u, v) → eval u + eval v  
  | Mul(u, v) → eval u × eval v
```

```
type binop = Add' | Mul'  
type expr' =  
  | Const' of int  
  | BinOp' of binop  
    × expr' × expr'
```

## Ornaments for refactoring (2)

```
let rec conv : expr' → expr = function  
  | Const'(i) → Const(i)  
  | BinOp(Add', u, v) → Add(conv u, conv v)  
  | BinOp(Mul', u, v) → Mul(conv u, conv v)  
ornament from conv : expr' → expr
```

```
let lifting eval' from eval with {conv} → _
```

```
let rec eval' : expr' → int = function  
  | Const'(i) → i  
  | BinOp'(Add', u, v) → eval' u + eval' v  
  | BinOp'(Mul', u, v) → eval' u × eval' v
```

The lifting is unique, because `conv` is bijective.

# Other applications

## Large-scale lifting of data structures

- ▶ Ocaml's Set library to maps (sets with values)
- ▶ Including higher-order functions
- ▶ Only the values need to be propagated

## GADTs

- ▶ A GADT is an ornament: constraints are added
- ▶ Lifting unique: the contents are the same
- ▶ In practice, works for what the typechecker could have proved

# Contents

1. What are ornaments?
2. Applications
3. Theory

## Syntactic ornament, binary term

```
let rec add m n = match m with
| Z → n
| S(m') → S(add m' n)
```

```
let rec add      m      n      = match m      with
| Z      → n
| S      (      m'      ) → S      (      add      m'      n      )
```

## Syntactic ornament, binary term

```
let rec   append ml nl = match ml with
  | Nil → nl
  | Cons({x}, ml') → Cons({x}, append ml' nl)

let rec append ml nl = match ml with
  | Nil → nl
  | Cons(x, ml') → Cons(x, append ml' nl)
```

## Syntactic ornament, binary term

```
let rec add m n = match m with  
  | Z → n  
  | S(m') → S(add m' n)
```

```
let rec add&append m&ml n&nl = match m&ml with  
  | Z&Nil → n&nl  
  | S&Cons({x}, m'&ml') → S&Cons({x}, add&append m'&ml' n&nl)
```

```
let rec append ml nl = match ml with  
  | Nil → nl  
  | Cons(x, ml') → Cons(x, append ml' nl)
```

## Typing of ornaments

The & in names is only a notation, it has no meaning in the binary language.

Ornaments translate to binary type definitions.

```
type { $\alpha$ } nat&list =  
  | Z&Nil  
  | S&Cons of { $\alpha$ }  $\times$ { $\alpha$ } nat&list
```

The typing enforces ornamentation:

```
val add&append  
  : { $\alpha$ }. { $\alpha$ } nat&list  $\rightarrow$ { $\alpha$ } nat&list  $\rightarrow$ { $\alpha$ } nat&list
```

The braces guarantee that values don't escape from the ornamented code to the bare code.



## Lifting with binary terms

Lifting: finding a binary term that *projects* to the base term and has the right type.

1. The binary typing relation guarantees that we have a valid lifting.
2. The projections of a well-typed term are well-typed.
3. The complexity is preserved: the additional complexity comes only from the code added between brackets.
4. If the ornamented code terminates, the base code terminates too.

# What's missing?

## Semantic ornaments

We can recover a semantic definition using contextual equivalence. All syntactic rules remain admissible (it is *compatible*), it is a superset of syntactic equivalence (it is *adequate*), and equivalent to the definition using coherence.

## Higher-order and nested ornaments

We can use this to understand what is a higher-order ornament and a nested ornament.

# Conclusion

## What we have learned

- ▶ Describing ornaments by projection is a good fit for ML
- ▶ Several classes of useful ornaments
- ▶ The syntactic lifting gives good, predictable results
- ▶ And can be well-explained by theory

## Future work

- ▶ Better patches
- ▶ Integrating into ML: effects? inference?
- ▶ Combining ornaments: adding the invariants of two GADTs?

Questions ?

## Ocaml integration

- ▶ Interaction with type inference: inferring the ornament specification of let-bound values?

```
let rev xs =  
  let rec rev_append acc = function  
    | x xs → rev_append (x :acc) xs  
    | [] → acc  
  in  
  rev_append [] xs
```

- ▶ Lifting effectful libraries?

# Lifting more complex data structures

## Sets

```
type t
val compare : t → t → int
type set = Empty | Node of t × set × set
```

## Maps

```
type α map =
  | MEmpty
  | MNode of t × α × α map × α map
```

## Ornament

```
let rec keys = function
  | MEmpty → Empty
  | MNode(k, v, l, r) → Node(k, keys l, keys r)
ornament from keys : α map → set
```

## Lifting a higher-order function

```
let rec exists (p : t → bool) (s : set) : bool =  
  match s with  
    | Empty → false  
    | Node(l, k, r) → p k || exists p l || exists p r
```

```
let lifting map_exists from exists  
  with (t → + $\alpha$  → t) → {keys} → bool
```

## Lifting a higher-order function

```
let rec exists (p : t → bool) (s : set) : bool =  
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```

```
let lifting map_exists from exists  
  with (t → +α → t) → {keys} → bool
```

```
let rec map_exists p m =  
  match m with  
  | Empty → false  
  | Node(l, k, v, r) → p k [?] || map_exists p l  
    || map_exists p r
```



# GADTs

Several data structures with the same contents but different invariants, *i.e.* a constraint on the shape of the type.

## Lists and vectors

```
type  $\alpha$  list = Nil | Cons of  $\alpha \times \alpha$  list
type zero = Zero          type _ succ = Succ
type (_,  $\alpha$ ) vec =
  | VNil : (zero,  $\alpha$ ) vec
  | VCons :  $\alpha \times (n, \alpha)$  vec  $\rightarrow$  (n succ,  $\alpha$ ) vec
```

```
let rec to_list : type n. (n,  $\alpha$ ) vec  $\rightarrow$   $\alpha$  list =
  function
  | VNil  $\rightarrow$  Nil
  | VCons(x, xs)  $\rightarrow$  Cons(x, xs)
ornament from to_list : ( $\gamma, \alpha$ ) vec  $\rightarrow$   $\alpha$  list
```

The lifting should be unambiguous.

## Lifting for GADTs

Automatic for some invariants, we only need to give the expected type of the function:

```
let rec zip xs ys = match xs, ys with  
  | Nil, Nil → Nil  
  | Cons(x, xs), Cons(y, ys) → Cons((x, y), zip xs ys)  
  | _ → failwith "different length"
```

```
let lifting vzip :
```

```
type n. (n,  $\alpha$ ) vec → (n,  $\beta$ ) vec → (n,  $\alpha \times \beta$ ) vec  
from zip with {to_list} → {to_list} → {to_list}
```

## Lifting for GADTs

Automatic for some invariants, we only need to give the expected type of the function:

```
let rec zip xs ys = match xs, ys with  
  | Nil, Nil → Nil  
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```

```
let lifting vzip :  
  type n. (n,  $\alpha$ ) vec → (n,  $\beta$ ) vec → (n,  $\alpha \times \beta$ ) vec  
  from zip with {to_list} → {to_list} → {to_list}
```

```
let rec vzip :  
  type n. (n,  $\alpha$ ) vec → (n,  $\beta$ ) vec → (n,  $\alpha \times \beta$ ) vec  
  = fun xs ys → match xs, ys with  
    | VNil, VNil → VNil  
    | VCons(x, xs), VCons(y, ys) →  
      VCons((x, y), vzip xs ys)  
    | _ → failwith "different length"
```

## When lifting fails

```
type (_, _, _) min =  
  | MinS : ( $\alpha$ ,  $\beta$ ,  $\gamma$ ) min  $\rightarrow$  ( $\alpha$  su,  $\beta$  su,  $\gamma$  su) min  
  | MinZl : (ze,  $\alpha$ , ze) min  
  | MinZr : ( $\alpha$ , ze, ze) min
```

```
let lifting vzipm :  
  type n1 n2 nmin.  
    (n1, n2, nmin) min  $\rightarrow$   
      (n1,  $\alpha$ ) vec  $\rightarrow$  (n2,  $\beta$ ) vec  $\rightarrow$  (nmin,  $\alpha \times \beta$ ) vec  
  from zipm  
  with +_  $\rightarrow$  {to_list}  $\rightarrow$  {to_list}  $\rightarrow$  {to_list}
```

```
let rec vzipm :  
  type n1 n2 nmin. (n1, n2, nmin) min  
     $\rightarrow$  (n1,  $\alpha$ ) vec  $\rightarrow$  (n2,  $\beta$ ) vec  $\rightarrow$  (nmin,  $\alpha \times \beta$ ) vec  
  = fun m xs ys  $\rightarrow$  match xs, ys with  
  | VNil, VNil  $\rightarrow$  VNil  
  | VCons(x, xs), VCons(y, ys)  $\rightarrow$   
    VCons((x, y), vzipm ? xs ys)  
  | _, _  $\rightarrow$  failwith "different length"
```