$Ornamentation \ in \ ML$

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Similar types

type nat = Z | S **of** nat **type** α list = Nil | Cons **of** $\alpha \times \alpha$ list

Similar values

Ornament relation

type ornament α natlist : nat $\rightarrow \alpha$ list **with** | Z \rightarrow Nil | S xs \rightarrow Cons (_, xs)

The relation α natlist between nat and α list defines an ornament.

Coherence

add & append

```
let rec add m n = match m with
| Z \rightarrow n Projection
| S m' \rightarrow S (add m' n) total
(function)
let rec append m n = match m with
| Nil \rightarrow n
| Cons(x, m') \rightarrow Cons(x, append m' n)
```

Coherence

add & append



Coherence

let rec append m n = ?

let rec append m n = ?

let rec append m n = ?

add (length m) (length n) = length (append m n)

We restrict to syntactic lifting, following the structure of the original function.

```
let rec add m n = match m with
| Z \rightarrow n
| S m' \rightarrow S (add m' n)
let append = lifting add : _ natlist \rightarrow _ natlist
```

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| Z \rightarrow n
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```

Ouput

```
let rec append m n = match m with
| Nil \rightarrow n
| Cons(x,m') \rightarrow Cons(#1, append m' n)
```

```
let rec add m n = match m with
| Z \rightarrow n
| S m' \rightarrow S (add m' n)
let append = lifting add : _ natlist \rightarrow _ natlist \rightarrow _ natlist
with #1 <- (match m with Cons(x,_) \rightarrow x)
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Ouput

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Ouput

More examples

About nat & list

- Canonical, but trivial example
- Still, small enough to be a good running example, to explain the details of lifting.

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About nat & list

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- Still, small enough to be a good running example, to explain the details of lifting.

Many other use cases of lifting

- Pure refactoring: nothing to guess, no need for patches
- Special case: optimizing data representation
- Dealing with administrative stuff, *e.g.* locations:
 Write the code without locations and lift it to the code with locations
- Lifting a library, e.g. sets into maps
- Composing liftings: relifting lifted code
- Decomposing lifting into pure refactoring and a true, but simpler lifting

Add of ann y a

| Add **of** exp \times exp

| Mul of exp × exp

type binop' = Add' | Mul'
type exp' =
 | Const' of int
 | Bin' of binop' × exp' × exp'

type exp = type binop' = Add' | Mul'
 Const of int
 Add of exp × exp | Const' of int
 Mul of exp × exp | Bin' of binop' × exp' × exp'

type ornament oexp : exp \rightarrow exp' with | Const i \rightarrow Const' i | Add(u, v) \rightarrow Bin'(Add', u, v) | Mul(u, v) \rightarrow Bin'(Mul', u, v)

```
type ornament oexp : exp \rightarrow exp' with

| Const i \rightarrow Const' i

| Add(u, v) \rightarrow Bin'(Add', u, v)

| Mul(u, v) \rightarrow Bin'(Mul', u, v)
```

```
let rec eval e = match e with
| Const i \rightarrow i
| Add (u, v) \rightarrow add (eval u) (eval v)
| Mul (u, v) \rightarrow mul (eval u) (eval v)
let eval' = lifting eval: oexp \rightarrow int
```

type exp = type binop' = Add' | Mul'
 Const of int
 Add of exp × exp | Const' of int
 Mul of exp × exp | Bin' of binop' × exp' × exp'

```
type ornament oexp : exp \rightarrow exp' with

| Const i \rightarrow Const' i

| Add(u, v) \rightarrow Bin'(Add', u, v)

| Mul(u, v) \rightarrow Bin'(Mul', u, v)
```

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(4)7 / 27

Why not just rely on the typechecker?

- ▶ We do automatically what the programmer must do manually.
- ▶ We guarantee that the program obtained is related to the original one
- ► The typechecker misses some places where a change is necessary.

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Permuting values

type bool = False | True

We can safely exchange True and False in some places:

Why not just rely on the typechecker?

- We do automatically what the programmer must do manually.
- ▶ We guarantee that the program obtained is related to the original one
- The typechecker misses some places where a change is necessary.

The relations between bare and ornamented values are tracked through the program (by *ornament* inference).

(Semi automated) code specialization

- Remove a field that is instanciated with unit
- Represent several boolean fields on a single integer
- Switch to a representation that can be unboxed (bool option)

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Our goal

- Show that ornaments are a convenient tool for the ML programmer
- Design (the building blocks of) a language for meta-programming with ornamentation in ML
- Follow a composable approach, where ornamentation can be combined with other transformations, *e.g.* other forms of code inference, mixed with user interaction, *etc.*
- Lift ML programs to other ML programs
- Ensure that ornamentation is well-behaved
- Also an experiment in typed-based, user-driven code transformations.

our inspiration

Abstraction is our inspiration

Code reuse by abstraction *a priori* as a design principle, an easy case:



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Code reuse by abstraction a priori as a design principle, an easy case:



Theorems for free

Parametricity ensures that the code F A and F B behaves the same up to the differences between A and B.

Refactoring

base code

Refactoring














Refactoring by abstraction a posteriori



Questions & difficulties

The meta-language *m*ML must

- trace meta-reductions (easy by stratification)
- keep fine-grain invariants to ensure that it can be simplified to ML
- trace equalities between expressions for dead branches elimination
- have dependent types: type depends on pattern matching branches

The generic version

- depends solely on the source, not on the ornament (split of concerns)
- we restrict to the syntactic variants: we can only abstract over data-types that are explicit in the program (constructed or destructed)
- we abstract over all possible ornamentations of these data-types, respecting their recursive structure

Key Ideas from add to append...

Introduce a skeleton (open definition) of nat, to allows for hybrid nats where the head looks like a nat but the tail need not be a nat.

type α natS = Z' | S' of α

Insert conversions between lists and natS in add to obtain append.

let list2natS
$$a = match a$$
 with
| Nil \rightarrow Z'
| Cons(_,xs) \rightarrow S' xs
let natS2list n x = match n with

$$| Z' \rightarrow Nil$$

| S' xs \rightarrow Cons(x, xs)

 \triangleright

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type α natS = Z' | S' **of** α

Insert conversions between lists and natS in add to obtain append.

let list2natS a = match a with
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$$\rightarrow$$
 Z'
| Cons(_,xs) \rightarrow S' xs
let natS2list n x = match n with
| Z' \rightarrow Nil
| S' xs \rightarrow Cons(x, xs)
let rec append m n =
match list2natS m with
| Z' \rightarrow n
| S' m' \rightarrow natS2list (S' (append m' n)) (List.hd m)









(fun m _ \rightarrow match m with Cons(x,_) \rightarrow x)



let append = add_gen list2natS natS2list list2natS natS2list (fun m $_ \rightarrow$ match m with Cons(x,_) \rightarrow x)

From add_gen back to add: by passing the "identity" ornament

let nat2natS = function Z \rightarrow Z' | S m \rightarrow S' m
let natS2nat n x = match n with Z' \rightarrow Z | S' m' \rightarrow S m'
let add = add_gen nat2natS natS2nat nat2natS natS2nat
 (fun _ _ \rightarrow ())

Staging

We need to

- ▶ to generate readable code (the one the user would have written)
- preserve the computational behavior/complexity, not just the meaning
- bring the lifted code back to ML

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Mark meta-abstractions and meta-applications that have been introduced:

```
let add_gen = fun m2natS natS2m n2natS natS2n patch →
  let rec add m n =
    match m2natS m with
        | Z' -> n
        | S' m' -> natS2n S' (add m' n) patch m n
    in add
```

let append = add_gen list2natS natS2list list2natS natS2list
 (fun m _ -> match m with Cons(x, _) -> x)

Staging

We need to

- to generate readable code (the one the user would have written)
- preserve the computational behavior/complexity, not just the meaning
- bring the lifted code back to ML

Mark meta-abstractions and meta-applications that have been introduced:

Meta-reduction of the lifted code

- Reduce #-redexes at compile time.
- ► All #-abstractions and #-applications can actually be reduced.
- This ensured by typing!

Meta-reduction of the lifted code

```
let add_gen = fun m2natS natS2m n2natS natS2n patch #>
let rec add m n =
  match m2natS # m with
    | Z' → n
    | S' m' → natS2n # S' (add m' n) # patch m n
  in add
```

- Reduce #-redexes at compile time.
- ► All #-abstractions and #-applications can actually be reduced.
- This ensured by typing!

Meta-reduction



- There remains some redundant pattern matchings...
- Decoding list to natS and encoding natS to list.
- We can eliminate the last one by reduction





And the other by extrusion... (commuting matches)





Cons(List.hd m, append m' n))

and reducing again

Back to ML

```
let rec append m n =
match m with
    | Nil \rightarrow n
    | Cons (x, xs)
    \rightarrow Cons (List.hd m, append m' n)
```

Back to ML



Back to ML

```
let rec append m n =
match m with
| Nil \rightarrow n
| Cons (x, xs)
\rightarrow Cons (x, append m' n)
```

- ▶ We obtain the code for append.
- > This transformation also eliminates all our uses of dependent types.
- This is always the case

In practice

We have a prototype implementation

- It follows the process outlined here.
- User interface issues: for specifying the instantiation, we take labelled patches and ornaments.
- To build the generic lifting, we transform deep pattern matching into shallow pattern matching.
- We try to recover the shape of the original program in a post-processing phase, keeping sharing annotations during dupplication
- We also expand local polymorphic lets (only a user interface problem)

See http://gallium.inria.fr/~remy/ornaments/

Goal: next version of the prototype for OCaml to run larger examples.

Discussion

Effects

- ► We use call-by-value, carefully preserving the evaluation order
- ► Should work without surpise in the presence of effects.
- A formal result about effects?

Recursion

- Modifying the recursive structure. Allowing mutual recursion.
- Non-regular types. GADTs.

Patches

- Can we write robust patches (that resist to code transformations)?
- Combine with some form of code inference (for patches)

Questions

- Should we give the user access to the intermediate language *m*ML?
- Can we use mML for other purposes?

Take away

About ornaments

- > Ornaments are useful in ML, both for software reuse and *evolution*
- Going from the source program to the target program via a generic lifting that is later instantiated seems the right approach:
 - correctness by parametricity.
 - also allows to represent partially instantiated terms (user interface)
- We can even generate user-readable code!

Software evolution

- Ornaments are one way of doing software evolution.
- Software evolution via abstraction a posteriori seems a good principle, with other potential applications.
- Typed languages are a good setting for software evolution/refactoring that we should also explore further.

Outline



2 A meta-language for ornamentation

Incoding ornaments in mML



Outline

Dependent types

2 A meta-language for ornamentation

3 Encoding ornaments in mML

4 More examples

```
What if we add data to the Z constructor too ?

type \alpha stream = End | Continued | More of \alpha \times \alpha stream

ornament \alpha natstream : nat \rightarrow \alpha stream with

| Z \rightarrow (End | Continued)

| S n \rightarrow More (_, n)
```

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let natS2stream n x = match n with

| Z' \rightarrow (match x with

| true \rightarrow Continued

| false \rightarrow End)

| S' n' \rightarrow More (x, n')
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What is the type of natS2stream ?

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```

What is the type of x ?

(match x with
$$Z' \rightarrow unit | S' \rightarrow \alpha$$
)

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```

*
$$\alpha$$
. II(x : natS (list α)).
 $\Pi(y : (match x with Z' \rightarrow unit | S' _ \rightarrow \alpha))$. list α

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```

 $\lambda^{\sharp} \alpha$. $\Pi(x : \text{natS (list } \alpha))$. $\Pi(y : (\text{match } x \text{ with } Z' \to \text{unit } | S' _ \to \alpha))$. list α Dependent types we introduce can always be eliminated.

```
The type may depend on more than the constructor.
   type \alpha list01 =
      I Nil01
      \perp Cons0 of \alpha list01
      | Cons1 of \alpha \times \alpha list01
   ornament \alpha olist01 : bool list \rightarrow \alpha list01 with
      | Ni| \rightarrow Ni|01
      | Cons (False, xs) \rightarrow Cons0 (xs)
      | Cons (True, xs) \rightarrow Cons1 ( , xs)
                          match m with
```

```
 \begin{array}{l} \text{ch } \textbf{\textit{m} with} \\ \mid \mathsf{Nil}' \to \mathsf{unit} \\ \mid \mathsf{Cons}' \ \mathsf{(False, \_)} \to \mathsf{unit} \\ \mid \mathsf{Cons}' \ \mathsf{(True, \_)} \to \alpha \end{array}
```
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Starting from ML

$$\tau, \sigma ::= \alpha \mid \tau \to \tau \mid \zeta \ \overline{\tau} \mid \forall (\alpha : \mathsf{Typ}) \ \tau$$

$$a, b ::= x \mid \mathsf{let} \ x = a \text{ in } a \mid \mathsf{fix} \ (x : \tau) \ x. \ a \mid a a$$

$$\mid \Lambda(\alpha : \mathsf{Typ}). \ u \mid a \ \tau \mid d \ \overline{\tau} \ \overline{a} \mid \mathsf{match} \ a \text{ with } \overline{P \to a}$$

$$P ::= d \ \overline{\tau} \ \overline{x}$$

Starting from ML

 $E ::= [] | E a | v E | d(\overline{v}, E, \overline{a}) | \Lambda(\alpha : \mathsf{Typ}). E | E \tau$ | match E with $\overline{P \to a}$ | let x = E in a

$$\begin{array}{rcl} (\operatorname{fix}\left(x:\tau\right)y.\,a)\,v &\longrightarrow_{\beta} & a[x\leftarrow\operatorname{fix}\left(x:\tau\right)y.\,a,y\leftarrow v] \\ (\Lambda(\alpha:\operatorname{Typ}).\,v)\,\tau &\longrightarrow_{\beta} & v[\alpha\leftarrow\tau] \\ & \operatorname{let} x=v \mbox{ in } a &\longrightarrow_{\beta} & a[x\leftarrow v] \\ \end{array} \\ \\ \begin{array}{rcl} \operatorname{match} & d_{j}\,\overline{\tau_{j}}\,(v_{i})^{i} \mbox{ with} \\ & (d_{j}\,\overline{\tau_{j}}\,(x_{ji})^{i}\rightarrow a_{j})^{j} &\longrightarrow_{\beta} & a_{j}[x_{ij}\leftarrow v_{i}]^{i} \end{array}$$

$$\begin{array}{c} \text{Context-Beta} \\ \hline a \longrightarrow_{\beta} b \\ \hline E[a] \longrightarrow_{\beta} E[b] \end{array}$$

From ML to *m*ML

- *e*ML: add type-level pattern matching and equalities.
- ▶ *m*ML: add dependent, meta-abstraction and application.

Reduction (under some typing conditions):

- ▶ From *m*ML, reduce meta-application and get a term in *e*ML
- From eML, eliminate type-level pattern matching and get a term in ML

eML

eML is obtained by extending the type system of ML.

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$$\Gamma = \alpha : \mathsf{Typ}, m : \mathsf{nat'} (\mathsf{list} \ \alpha), x : \mathsf{match} \ m \text{ with } \mathsf{Z'} \to \mathsf{unit} \mid \mathsf{S'} \ _ \to \alpha$$

Consider:

match *m* with

$$| Z' \rightarrow Nil |$$

 $| S' m' \rightarrow Cons(x, m')$

eML

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$$\Gamma = \alpha : \mathsf{Typ}, m : \mathsf{nat}' (\mathsf{list} \ \alpha), x : \mathsf{match} \ m \text{ with } \mathsf{Z}' \to \mathsf{unit} \mid \mathsf{S}' \ _ \to \alpha$$

Consider:

match *m* with

$$| \begin{array}{c} \mathsf{Z}' \to \mathsf{Nil} \\ | \begin{array}{c} \mathsf{S}' & \mathit{m}' \to \mathsf{Cons} \ (x, \mathit{m}') \end{array} \end{array}$$

In the S' branch, we know m = S' m'. Thus:

$$\begin{array}{rcl} x & : & \text{match } m \text{ with } \mathsf{Z}' \to \text{unit } | \mathsf{S}' _ \to \alpha \\ & = & \text{match } \mathsf{S}' \ m' \text{ with } \mathsf{Z}' \to \text{unit } | \mathsf{S}' _ \to \alpha \\ & = & \alpha \end{array}$$

Equalities

We extend the typing environment with equalities:

$$\Gamma ::= \ldots | \Gamma, a =_{\tau} b$$

Introduced on pattern matching

$$\Gamma \vdash \tau : \mathsf{Sch} \qquad (d_i : \forall (\alpha_k : \mathsf{Typ})^k \ (\tau_{ij})^j \to \zeta \ (\alpha_k)^k)^i \qquad \Gamma \vdash a : \zeta \ (\tau_k)^k \\ (\Gamma, (x_{ij} : \tau_{ij} [\alpha_k \leftarrow \tau_k]^k)^j, a =_{\zeta \ (\tau_k)^k} d_i (\tau_{ij})^k (x_{ij})^j \vdash b_i : \tau)^i$$

 $\Gamma \vdash \text{match } a \text{ with } (d_i(au_{ik})^k (x_{ij})^j
ightarrow b_i)^i : au$

Used to prove type equalities

Since terms appears in types, they generate equalities on types, which allows for *implicit* conversions:

$$\frac{\Gamma \vdash \tau_1 \simeq \tau_2 \qquad \Gamma \vdash a : \tau_1}{\Gamma \vdash a : \tau_2}$$

Elimination of equalities

We restrict reduction in equalities so that it remains decidable.

Assume a is term an *e*ML *a* such that $\Gamma \vdash a : \tau$, where Γ and τ are in ML. Then, we can transform *a* into a well-typed ML term by:

- Using an equalities to substitute in terms
- Extruding nested pattern matching
- Reduding pattern matching

This justifies the use of eML as an intermediate language for ornamentation

Meta-programming in *m*ML

We introduce a separate type for meta-functions, so that they can only be applied using meta-application.

$$(\lambda^{\sharp}(x:\tau).a) \sharp u \longrightarrow_{\sharp} a[x \leftarrow u]$$

This enables to eliminate all abstractions and applications marked with #.

We restrict types so that meta-constructions can not be manipulated by the ML fragment.

Meta-reduction

If there are no meta-typed variables in the context, the meta-reduction \longrightarrow_{\sharp} will eliminate all meta constructions and give an *e*ML term.

But the meta-reduction also commutes with the ML reduction.

We thus have two dynamic semantics for the same term:

- For reasoning, we can consider that meta and ML reduction are interleaved.
- ► We can use the meta reduction in the first stage to compile an *m*ML term down to an *e*ML term.

Dependent functions

We need dependent types for the encoding function:

natS2list :
$$\lambda^{\sharp} \alpha$$
. $\Pi(x : natS (list \alpha))$.
 $\Pi(y : match x with Z' \rightarrow unit | S' _ \rightarrow \alpha)$.
list α

Dependent functions

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$$\begin{array}{rll} \mathsf{natS2list}: & \lambda^{\sharp} \alpha. \ \mathsf{\Pi}(x:\mathsf{natS}\ (\mathsf{list}\ \alpha)). \\ & \mathsf{\Pi}(y:\mathsf{match}\ x \ \mathsf{with}\ \mathsf{Z}' \to \mathsf{unit} \mid \mathsf{S}' \ _ \to \alpha). \\ & \mathsf{list}\ \alpha \end{array}$$

For the encoding of ornaments to type correctly, we also add:

- ► Type-level functions to represent the type of the extra information.
- The ability to abstract on equalities so they can be passed to patches.

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Dependent types

2 A meta-language for ornamentation



4 More examples

Semantics of ornament specifications

let append = lifting add : α natlist $\rightarrow \alpha$ natlist $\rightarrow \alpha$ natlist

We mean:

- If ml is a lifting of m (for natlist)
- ► and nl is a lifting of n (for natlist)
- ► then append ml nl is a lifting of add m n (for natlist)

Semantics of ornament specifications

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We build a (step-indexed) binary logical relation on mML, and add an interpretation for datatype ornaments.

The interpretation of a functional lifting is exactly the interpretation of function types, replacing "is a lifting of" by "is related to".

Datatype ornaments

A datatype ornament naturally gives a relation:

```
ornament \alpha natlist : nat \rightarrow \alpha list with
| Z \rightarrow Nil
| S xs \rightarrow Cons(_, xs)
```

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```
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| Z \rightarrow Nil

| S xs \rightarrow Cons(_, xs)

(Z, Nil) \in \mathcal{V}[\text{natlist } \tau]

\frac{(u, v) \in \mathcal{V}[\text{natlist } \tau]}{(S u, \text{Cons} (a, v)) \in \mathcal{V}[\text{natlist } \tau]}
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\frac{(u,v) \in \mathcal{V}[\text{natlist } \tau]}{(S u, \text{Cons } (a, v)) \in \mathcal{V}[\text{natlist } \tau]}
```

We prove that the ornamentation functions are correct relatively to this definition:

- if we construct a natural number and a list from the same skeleton, they are related;
- if we destruct related values, we obtain the same skeleton.

Correctness

- Consider a term a_{-} .
- ▶ Generalize it into *a*. By the fundamental lemma, *a* is related to itself.
- Construct an instanciation γ_+ and the identity instanciation γ_- .
- $\gamma_{-}(a)$ and $\gamma_{+}(a)$ are related.
- $\gamma_{-}(a)$ reduces to a_{-} , preserving the relation.
- ▶ Simplify $\gamma_+(a)$ into a_+ (an ML term), preserving the relation
- ▶ a_{-} and a_{+} are related.

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Specialization: unit map

```
type \alpha map =
| Node of \alpha map \times key \times \alpha \times \alpha map
| Leaf
```

Specialization: unit map

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type \alpha map =
| Node of \alpha map \times key \times \alpha \times \alpha map
| Leaf
```

Instead of unit map, we could use a more compact representation:

```
type set =
    | SNode of set × key × set
    | SLeaf
```

```
type ornament mapset : unit map → set with
    Node(l,k,(),r) → SNode(l,k,r)
    Leaf → SLeaf
```

Specialization: unboxing

type α option =

| None

| Some of α

type booloption = | NoneBool | SomeTrue | SomeFalse

Specialization: unboxing

```
type \alpha option =
| None
| Some of \alpha
```

type booloption = | NoneBool | SomeTrue | SomeFalse

type ornament boolopt : bool option \rightarrow booloption with | None \rightarrow NoneBool

- | Some(true) \rightarrow SomeTrue
- | Some(false) \rightarrow SomeFalse