Static Contract Checking for Haskell

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Work done at University of Cambridge

Joint work with

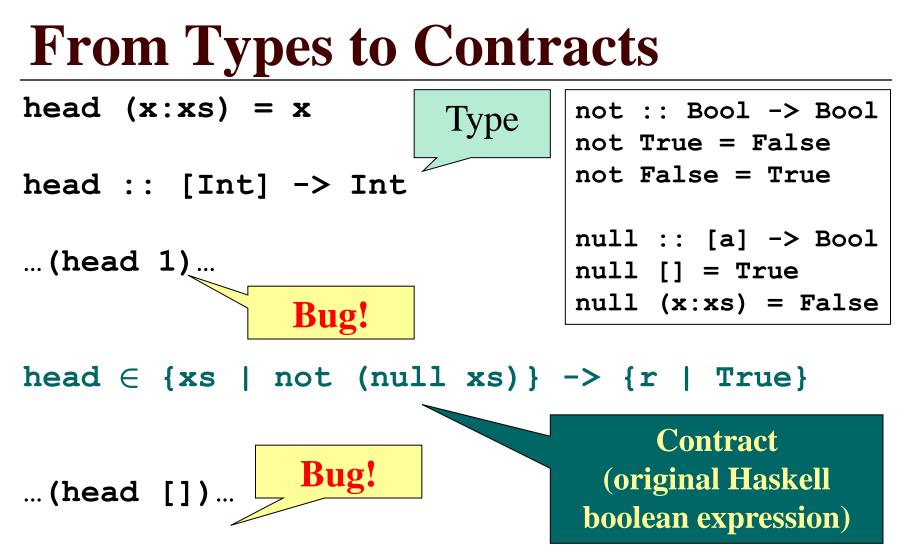
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Program Errors Give Headache!

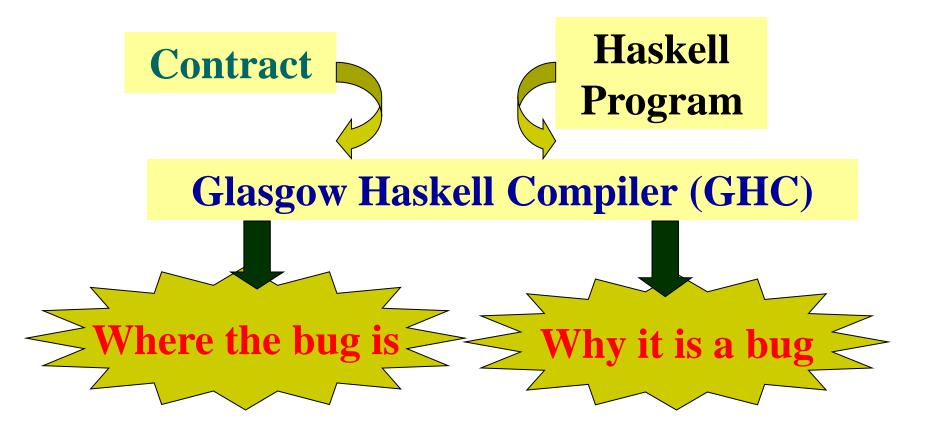
```
Module UserPgm where
f :: [Int] -> Int
f xs = head xs `max` 0
:
... f [] ...
Module Prelude where
head :: [a] -> a
head (x:xs) = x
head [] = error "empty list"
```

Glasgow Haskell Compiler (GHC) gives at run-time

Exception: Prelude.head: empty list



What we want?



Contract Checking

head $\in \{xs \mid not (null xs)\} \rightarrow \{r \mid True\}$ head (x:xs') = x

f xs = head xs `max` 0

Warning: f [] calls head which may fail head's precondition!

No more warnings from the compiler!

Satisfying a Predicate Contract

Arbitrary boolean-valued Haskell expression

 $e \in \{x \mid p\}$ if (1) p[e/x] gives True and

(2) e is crash-free.

Recursive function, higher-order function, partial function can be called!

Expressiveness of the Specification Language

data T = T1 Bool | T2 Int | T3 T T

sumT :: T -> Int
sumT $\in \{x \mid noT1 \mid x\} \rightarrow \{r \mid True\}$ sumT (T2 a) = a
sumT (T3 t1 t2) = sumT t1 + sumT t2

noT1 :: T -> Bool noT1 (T1 _) = False noT1 (T2 _) = True noT1 (T3 t1 t2) = noT1 t1 && noT1 t2

Expressiveness of the Specification Language

sumT :: T -> Int sumT \in {x | noT1 x} -> {r | True} sumT (T2 a) = a

```
sumT (T3 t1 t2) = sumT t1 + sumT t2
```

```
rmT1 :: T -> T
rmT1 \in \{x \mid True\} \rightarrow \{r \mid noT1 r\}
rmT1 (T1 a) = if a then T2 1 else T2 0
rmT1 (T2 a) = T2 a
rmT1 (T3 t1 t2) = T3 (rmT1 t1) (rmT1 t2)
```

For all crash-free t::T, sumT (rmT1 t) will not crash.

Higher Order Functions

```
all :: (a -> Bool) -> [a] -> Bool
all f [] = True
all f (I = True
all f (I = True
all f (I = I = I = I)
filter f (I = I = I)
filter f (I = I = I)
filter f (I = I = I = I)
filter f (I = I = I)
filter f (I = I = I)
filter f (I = I = I)
```

Contracts for Higher-order Function's Parameter

```
f1 :: (Int -> Int) -> Int
```

```
f1 \in ({x | True} -> {y | y >= 0}) -> {r | r >= 0}
f1 g = (g 1) - 1
```

```
f2 :: {r | True}
```

```
f2 = f1 (|x -> x - 1|)
```

```
Error: f1's postcondition fails
  when (g 1) >= 0 holds
        (g 1) - 1 >= 0 does not hold
Error: f2 calls f1
  which fails f1's precondition
```

[Findler&Felleisen:ICFP'02, Blume&McAllester:ICFP'04]

Various Examples

zip :: [a] -> [b] -> [(a,b)]
zip ∈ {xs | True} -> {ys | sameLen xs ys}
 -> {rs | sameLen rs xs }

sameLen [] [] = True
sameLen (x:xs) (y:ys) = sameLen xs ys
sameLen ____ = False

f91 :: Int -> Int f91 $\in \{n \mid True\} \rightarrow \{r \mid (n \le 100 \&\& r == 91) \ || r == n - 10\}$ f91 n = case (n <= 100) of True -> f91 (f91 (n + 11)) False -> n - 10

Sorting

(==>) True x = x (==>) False x = True

```
sorted [] = True
sorted (x:[]) = True
sorted (x:y:xs) = x \le y \& \&  sorted (y : xs)
insert :: Int -> [Int] -> [Int]
insert \in {i | True} -> {xs | sorted xs} -> {r | sorted r}
merge :: [Int] -> [Int] -> [Int]
merge \in \{xs \mid sorted xs\} \rightarrow \{ys \mid sorted ys\} \rightarrow \{r \mid sorted r\}
bubbleHelper :: [Int] -> ([Int], Bool)
bubbleHelper \in \{xs \mid True\}
               \rightarrow {r | not (snd r) ==> sorted (fst r)}
insertsort, mergesort, bubblesort \in \{xs \mid True\}
                                        \rightarrow {r | sorted r}
```

AVL Tree

(&&) True x = x(&&) False x = False

```
balanced :: AVL -> Bool
balanced L = True
balanced (N t u) = balanced t && balanced u &&
abs (depth t - depth u) <= 1</pre>
```

```
data AVL = L | N Int AVL AVL

insert, delete :: AVL -> Int -> AVL

insert \in {x | balanced x} -> {y | True} ->

{r | notLeaf r && balanced r &&

0 <= depth r - depth x &&

depth r - depth x <= 1 }
```

```
delete \in \{x \mid balanced x\} \rightarrow \{y \mid True\} \rightarrow
{r | balanced r && 0 <= depth x - depth r &&
depth x - depth r <= 1}
```

Functions without Contracts

data T = T1 Bool | T2 Int | T3 T T

noT1 :: T -> Bool noT1 (T1 _) = False noT1 (T2 _) = True noT1 (T3 t1 t2) = noT1 t1 && noT1 t2
(&&) True x = x

(&&) False x = False

No abstraction is more compact than the function definition itself!

Lots of Questions

- □ What does "crash" mean?
- □ What is "a contract"?
- □ What does it mean to "satisfy a contract"?
- How can we verify that a function does satisfy a contract?
- What if the contract itself diverges? Or crashes?

It's time to get precise...

What is the Language?

- Programmer sees Haskell
- Translated (by GHC) into Core language
 - Lambda calculus
 - Plus algebraic data types, and case expressions
 - BAD and UNR are (exceptional) values
 - Standard reduction semantics $e_1 \rightarrow e_2$

$$\begin{array}{ll} a, e, p ::= n \mid v \mid \lambda(x :: \tau).e \mid e_1 \mid e_2 \mid K \overrightarrow{e} \\ \mid & \text{case } e_0 \text{ of } alt_1 \dots alt_n \mid \text{BAD} \mid \text{UNR} \\ alt & ::= pt \xrightarrow{\rightarrow e} \\ pt & ::= K \overrightarrow{(x :: \tau)} \mid \text{DEFAULT} \end{array}$$

Two Excontional	Voluor
Two Exceptional	VALUES Real Haskell
- DAD is an amproprian that a	Program
\square BAD is an expression that c	rasnes.
error :: String -> a	
error s = BAD	div x y =
	case y == 0 of
	True -> error "divide by zero"
head $(x:xs) = x$	False -> x / y
head [] = BAD	head $(x:xs) = x$

UNR (short for "unreachable") is an expression that gets stuck. This is *not* a crash, although execution comes to a halt without delivering a result. (identifiable infinite loop)

Crashing

Definition (Crash).

A closed term e crashes iff $e \rightarrow^* BAD$

Definition (Crash-free Expression) *An expression e is crash-free* **iff** \forall **C. BAD** \notin_{s} **C**, \vdash **C**[[e]] :: (), **C**[[e]] $\not\rightarrow^{*}$ **BAD**

Non-termination is not a crash (i.e. partial correctness).

Crash-free Examples

	Crash-free?
(1, BAD)	NO
(1, True)	YES
\x -> x	YES
$x \rightarrow if x > 0$ then x else (BAD, x)	NO
$x \rightarrow if x x \ge 0$ then $x + 1$ else BAD	Hmm YES

Lemma: For all closed e, e is syntactically safe $\Rightarrow e$ is crash-free.

What is a Contract

(related to [Findler:ICFP02,Blume:ICFP04,Hinze:FLOPS06,Flanagan:POPL06])

$t \in Contract$	
t ::= {x p}	Predicate Contract
$ \mathbf{x}: \mathbf{t}_1 \rightarrow \mathbf{t}_2$	Dependent Function Contract
$ (t_1, t_2)$	Tuple Contract
Any	Polymorphic Any Contract

Full version: $x' : \{x \mid x > 0\} \rightarrow \{r \mid r > x'\}$ Short hand: $\{x \mid x > 0\} \rightarrow \{r \mid r > x\}$

 $k: (\{x \mid x > 0\} \rightarrow \{y \mid y > 0\}) \rightarrow \{r \mid r > k 5\}$

Questions on $e \in t$

 $3 \in \{x \mid x > 0\}$ $5 \in \{x \mid True\}$

What exactly does it mean to say that

e "satisfies" contract t?

$e \in t$

Contract Satisfaction

(related to [Findler:ICFP02,Blume:ICFP04,Hinze:FLOPS06])

Given $\vdash e :: \tau$ and $\vdash_c t :: \tau$, we define $e \in t$ as follows:

 $e \in \{x \mid p\} \Leftrightarrow e^{\uparrow} \text{ or } (e \text{ is } crash-free \text{ and} p[e/x] \not A^* \{BAD, False\}$ [A1]

$$e \in x: t_1 \to t_2 \quad \Leftrightarrow \quad e^{\uparrow} \text{ or } (e \to^* \lambda x. e^{\prime} \text{ and} \qquad [A2]$$
$$\forall e_1 \in t_1. (e e_1) \in t_2[e_1/x])$$

$$e \in (t_1, t_2) \quad \Leftrightarrow \quad e^{\uparrow} \text{ or } (e \rightarrow^* (e_1, e_2) \text{ and } [A3]$$
$$e_1 \in t_1 \text{ and } e_2 \in t_2)$$

 $e \in Any \quad \Leftrightarrow \quad True \qquad [A4]$ $e^{\uparrow} \text{ means e diverges or } e \to^{*} UNR$

Only Crash-free Expression Satisfies a Predicate Contract

$\mathbf{e} \in \{\mathbf{x} \mid \mathbf{p}\}$	\Leftrightarrow	e↑ or (e is crash-free and p[e/x] ≯*{BAD, False}
$e \in x: t_1 \rightarrow t_2$	\Leftrightarrow	e [↑] or (e $\rightarrow^* \lambda x.e'$ and $\forall e_1 \in t_1$. (e e_1) $\in t_2[e_1/x]$)
$\mathbf{e} \in (\mathbf{t}_1, \mathbf{t}_2)$	\Leftrightarrow	$e\uparrow$ or $(e \rightarrow^*(e_1,e_2)$ and $e_1 \in t_1$ and $e_2 \in t_2)$
$e \in \texttt{Any}$	\Leftrightarrow	True

		YES or NO?
(True, 2)	$\in \{\mathbf{x} \mid (\mathbf{snd} \mathbf{x}) > 0\}$	YES
(head [], 3)	$\in \{\mathbf{x} \mid (\text{snd } \mathbf{x}) > 0\}$	NO
\x-> x	$\in \{x \mid True\}$	YES
\x-> x	$\in \{x \mid loop\}$	YES
5	$\in \{\mathbf{x} \mid BAD\}$	NO
loop	$\in \{x \mid False\}$	YES
loop	$\in \{\mathbf{x} \mid BAD\}$	YES

All Expressions Satisfy Any

 $fst \in (\{x \mid True\}, Any) \rightarrow \{r \mid True\}$ fst (a,b) = aInlining may help, here a breaks down when the breaks down

g x = fst (x, BAD)

Inlining may help, but breaks down when function definition is big or recursive

		YES or NO?
5	\in Any	YES
BAD	\in Any	YES
(head [], 3)	\in (Any, {x x> 0})	YES
\x -> x	\in Any	YES
BAD	\in Any -> Any	NO
BAD	\in (Any, Any)	NO

All Contracts are Inhabited

$\mathbf{e} \in \{\mathbf{x} \mid \mathbf{p}\}$	\Leftrightarrow	e↑ or (e is crash-free and p[e/x] / *{BAD, False}
$e \in x: t_1 \rightarrow t_2$	\Leftrightarrow	e [↑] or (e $\rightarrow^* \lambda x.e$ ' and $\forall e_1 \in t_1$. (e e_1) $\in t_2[e_1/x]$)
$\mathbf{e} \in (\mathbf{t}_1, \mathbf{t}_2)$	\Leftrightarrow	$e\uparrow$ or $(e \rightarrow^*(e_1,e_2)$ and $e_1 \in t_1$ and $e_2 \in t_2)$
$e \in \texttt{Any}$	\Leftrightarrow	True

		YES or NO?
\x-> BAD	\in Any -> Any	YES
\x-> BAD	$\in \{x \mid True\} \rightarrow Any$	YES
\x-> BAD	$\in \{x \mid False\} \rightarrow \{r \mid True\}$	NO
	Blume&McAllester[JFP say YES	'06]

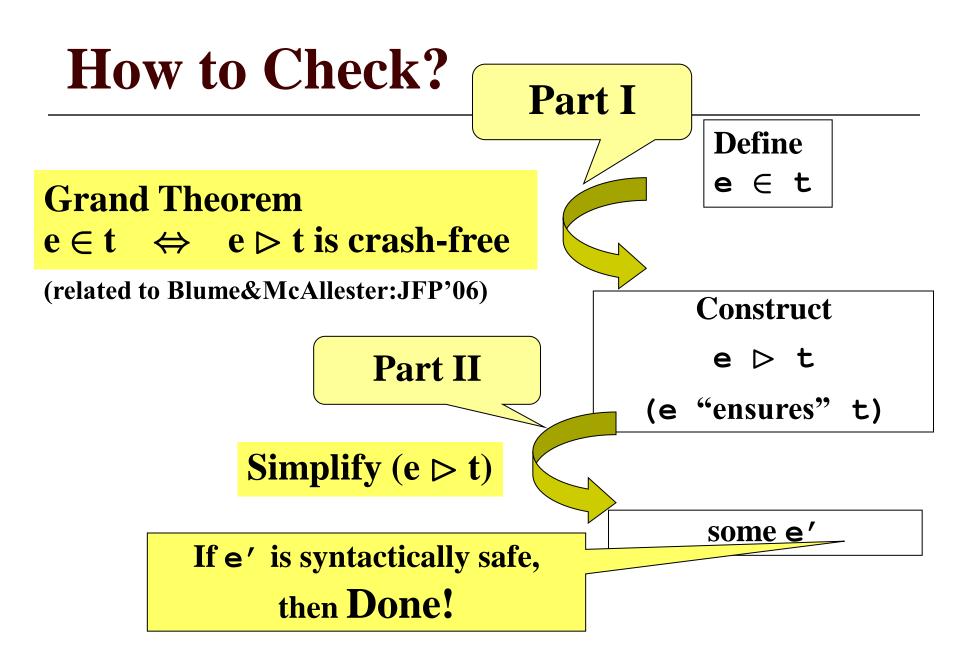
What to Check?

Does function *f* satisfy its contract *t* (written $f \in t$)?

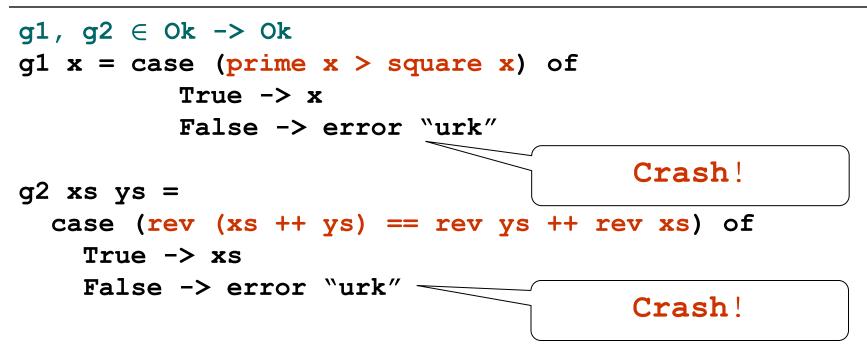
At the definition of each function f, Check $f \in t$ assuming all functions called in fsatisfy their contracts.

Goal: main $\in \{x \mid True\}$

(main is *crash-free*, hence the program cannot crash)



What we can't do?



Hence, three possible outcomes: (1) Definitely Safe (no crash, but may loop) (2) Definite Bug (definitely crashes) (3) Possible Bug

Wrappers ▷ and ⊲ (▷ pronounced ensures <p

 $e \triangleright Any = UNR$

related to [Findler:ICFP02,Blume:JFP06,Hinze:FLOPS06]

Wrappers ▷ and ⊲ (▷ pronounced ensures <p

 $e \triangleleft Any = BAD$

related to [Findler:ICFP02,Blume:JFP06,Hinze:FLOPS06]

Example

head:: $[a] \rightarrow a$ head [] = BADhead (x:xs) = x

head \in { xs | not (null xs) } -> Ok

head \triangleright {xs | not (null xs)} -> Ok

= v. head ($v \triangleleft \{xs \mid not (null xs)\}$) \triangleright Ok

$e \triangleright Ok = e$

\v. head (case not (null v) of True -> v False -> UNR)

null :: [a] -> Bool
null [] = True
null (x:xs) = False
not :: Bool -> Bool
not True = False
not False = True

Now inline 'head'

So head [] fails with UNR, not BAD, blaming the caller

Higher-Order Function

f1 :: (Int -> Int) -> Int f1 \in ({x | True} -> {y | y >= 0}) -> {r | r >= 0} f1 g = (g 1) - 1

```
f2:: {r | True}
f2 = f1 (x \rightarrow x - 1)
```

Grand Theorem $e \in t \iff e \triangleright t$ is crash-free

 $e \triangleright \{x \mid p\} = case p[e/x] of$ True -> e False -> BAD

loop $\in \{x \mid False\}$ loop $\triangleright \{x \mid False\}$ = case False of {True -> loop; False -> BAD} = BAD, which is not crash-free BAD $\notin Ok \rightarrow Any$ BAD $\triangleright Ok \rightarrow Any$ = $\setminus v \rightarrow ((BAD (v \triangleleft Ok)) \triangleright Any$

= $v \rightarrow UNR$, which is crash-free

Grand Theorem $e \in t \iff e \triangleright t$ is crash-free
$e > \{x \mid p\} = e \cdot seq \cdot case p[e/x] of$
True -> e
False -> BAD
<pre>e_1 `seq` e_2 = case e_1 of {DEFAULT -> e_2}</pre>
$loop \in \{x \mid False\}$
$loop \triangleright \{x \mid False\}$
<pre>= loop `seq` case False of {}</pre>
= loop, which is crash-free
BAD \notin Ok -> Any BAD \triangleright Ok -> Any = BAD `seq` \v -> ((BAD (v <) Ok)) \triangleright Any = BAD, which is not crash-free

Contracts that Diverge

 $x \rightarrow BAD \in \{x \mid loop\} ? NO$

But $x \rightarrow BAD > \{x \mid loop\}$ crash-free = $x \rightarrow BAD$ `seq` case loop of

True $\rightarrow \x \rightarrow$ BAD

e ▷ {x | p} = e `seq` case fin p[e/x] of True -> e False -> BAD

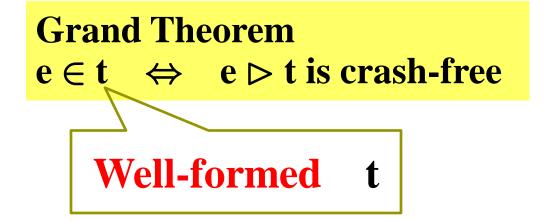
fin converts divergence to True

Contracts that Crash

Grand Theorem $e \in t \iff e \triangleright t$ is crash-free

- □ ... much trickier
 - (\Rightarrow) does not hold, (\Leftarrow) still holds
- Open Problem
 - Suppose fin converts BAD to False
 - Not sure if Grand Theorem holds because NO proof, and NO counter example either.

Well-formed Contracts



t is Well-formed (WF) iff $t = \{x \mid p\}$ and p is crash-free or $t = x:t_1 \rightarrow t_2$ and t_1 is WF and $\forall e_1 \in t_1$, $t_2[e_1/x]$ is WF or $t = (t_1, t_2)$ and both t_1 and t_2 are WF or t = Any

Properties of \triangleright and \triangleleft

Key Lemma: For all closed, crash-free e, and closed t, $(e \lhd t) \in t$

Projections: (related to Findler&Blume:FLOPS'06) For all e and t, if $e \in t$, then

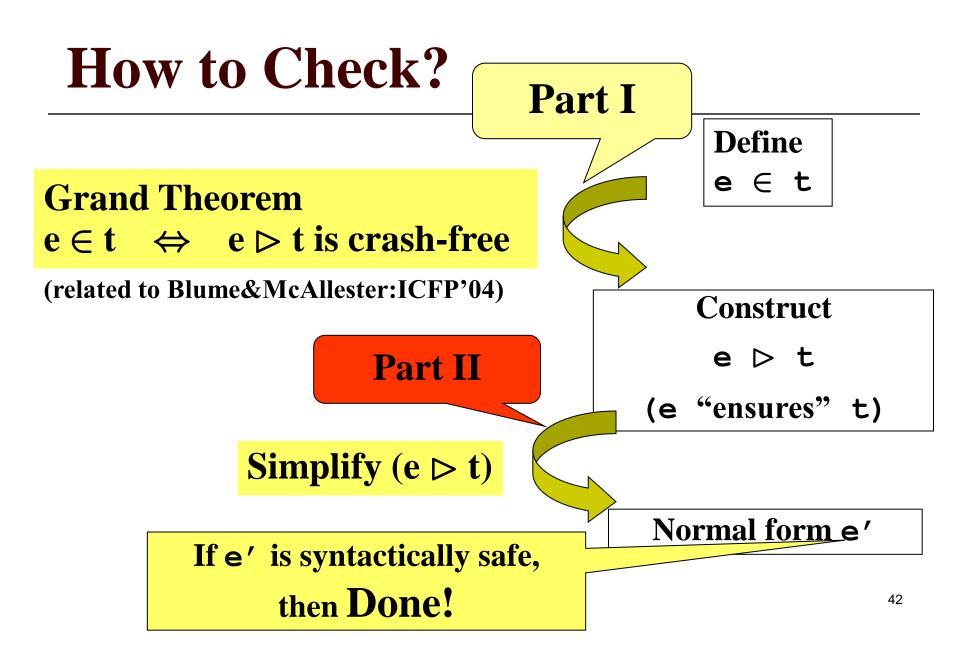
(a)
$$\mathbf{e} \preceq \mathbf{e} \triangleright \mathbf{t}$$

(b) $\mathbf{e} \triangleleft \mathbf{t} \preceq \mathbf{e}$

Definition (Crashes-More-Often): $e_1 \leq e_2$ iff for all $C, \vdash C[[e_i]] :: ()$ for i=1,2 and $C[[e_2]] \rightarrow^* BAD \Rightarrow C[[e_1]] \rightarrow^* BAD$

More Lemmas ③

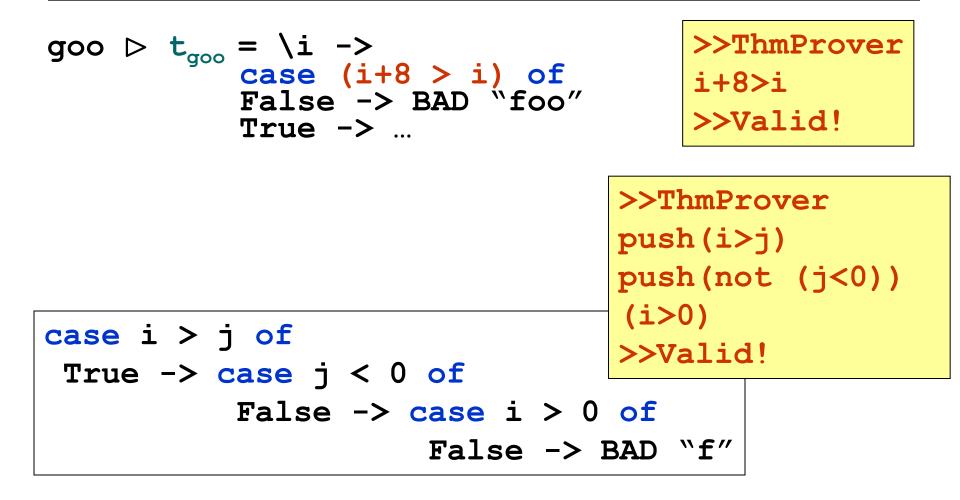
Lemma [Monotonicity of Satisfaction]: If $e_1 \in t$ and $e_1 \preceq e_2$, then $e_2 \in t$ Lemma [Congruence of \leq]: $e_1 \leq e_2 \Rightarrow \forall C. C[[e_1]] \leq C[[e_2]]$ Lemma [Idempotence of Projection]: \forall e, t. e \triangleright t \triangleright t \equiv e \triangleright t \forall e, t. e \lhd t \lhd t \equiv e \lhd t Lemma [A Projection Pair]: \forall e, t. e \triangleright t \triangleleft t \preceq e Lemma [A Closure Pair]: \forall e, t. e \leq e < t > t



Simplification Rules

$$\begin{array}{cccc} (\lambda x.e_{1}) e_{2} & \Longrightarrow & e_{1}[e_{2}/x] \end{array} & (BETA) \\ (case e_{0} of \{K_{i} \ \vec{x_{i}} \rightarrow e_{i}\}) a & \Longrightarrow & case e_{0} of \{K_{i} \ \vec{x_{i}} \rightarrow (e_{i} \ a)\} & f_{V}(a) \cap \vec{x_{i}} = \emptyset & (CASEOUT) \\ case (case e_{0} of \{K_{i} \ \vec{x_{i}} \rightarrow e_{i}\}) of alts & \Longrightarrow & case e_{o} of \{K_{i} \ \vec{x_{i}} \rightarrow case \ e_{i} \ of alts\} & f_{V}(alts) \cap \vec{x_{i}} = \emptyset & (CASECASE) \\ case K_{j} \vec{e_{j}} of \{K_{i} \ \vec{x_{i}} \rightarrow e_{i}\} & \Longrightarrow & UNR \quad \forall i. K_{j} \neq K_{i} & (NOMATCH) \\ case e_{0} of \{K_{i} \ \vec{x_{i}} \rightarrow e_{i}; K_{j} \ \vec{x_{j}} \rightarrow UNR\} & \Longrightarrow & case \ e_{0} of \{K_{i} \ \vec{x_{i}} \rightarrow e_{i}\} & (UNREACHABLE) \\ case e_{0} of \{K_{i} \ \vec{x_{i}} \rightarrow e_{i}\} & \Longrightarrow & e_{1} & patterns \ are \ exhaustive \ and & for \ all \ i, f_{V}(e_{i}) \cap \vec{x_{i}} = \emptyset \ and \ e_{1} = e_{i} & (SAMEBRANCH) \\ case \ e_{0} of \{K_{i} \ \vec{x_{i}} \rightarrow e_{i}\} & \Longrightarrow & e_{0} & e_{0} \in \{BAD \ lbl, UNR\} & (STOP) \\ case \ K_{i} \ \vec{y_{i}} \ of \{K_{i} \ \vec{x_{i}} \rightarrow e_{i}\} & \Longrightarrow & e_{i}[y_{i}/x_{i}] & (MATCH) \\ case \ e_{0} of \{K_{i} \ \vec{x_{i}} \rightarrow e_{i}\} & \Longrightarrow & e_{i}[y_{i}/x_{i}] & (MATCH) \\ case \ e_{0} of \{K_{i} \ \vec{x_{i}} \rightarrow e_{i}\} & \Longrightarrow & case \ e_{0} \ of \{K_{i} \ \vec{x_{i}} \rightarrow \dots e_{i} \dots\} & (SCRUT) \\ \end{array}$$

Arithmetic via External Theorem Prover



Counter-Example Guided Unrolling

```
sumT :: T \rightarrow Int
 sumT \in \{x \mid noT1 \mid x \} \rightarrow \{r \mid True\}
 sumT (T2 a) = a
 sumT (T3 t1 t2) = sumT t1 + sumT t2
 After simplifying (sumT \triangleright t<sub>sumT</sub>), we may have:
case (noT1 x) of
True \rightarrow case x of
          T1 a \rightarrow BAD
          T2 a -> a
          T3 t1 t2 \rightarrow case (noT1 t1) of
                          False -> BAD
                           True -> case (noT1 t2) of
                                     False -> BAD
                                     True \rightarrow sumT t1 + sumT t2
                                                                       45
```

Step 1: Program Slicing – Focus on the BAD Paths

```
case (noT1 x) of
True -> case x of
T1 a -> BAD
T3 t1 t2 -> case (noT1 t1) of
False -> BAD
True -> case (noT1 t2) of
False -> BAD
```

Step 2: Unrolling

```
case (case x of

T1 a \rightarrow False

T2 a \rightarrow True

T3 t1 t2 \rightarrow noT1 t1 \&\& noT1 t2) of

True -> case x of

T1 a \rightarrow BAD

T3 t1 t2 \rightarrow case (noT1 t1) of

False \rightarrow BAD

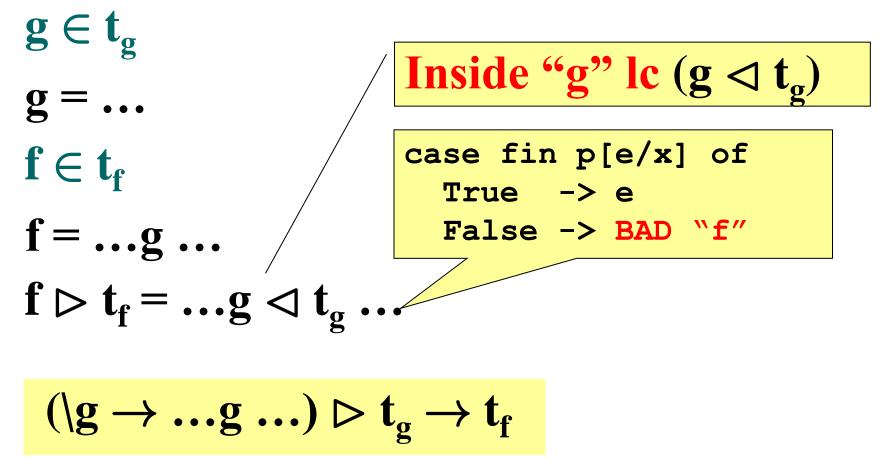
True \rightarrow Case (noT1 t2) of

False \rightarrow BAD
```

Counter-Example Guided Unrolling – The Algorithm

escH rhs 0 = "Counter-example :" ++ report rhsescH rhs n =let rhs' = simplifier rhsb = noBAD rhs'in case b of True \rightarrow "No Bug." False \rightarrow let s = slice rhs'in case noFunCall s of True \rightarrow let eg =oneEg sin "Definite Bug :" ++ report eg False \rightarrow let s' = unrollCalls sin escH s' (n-1)

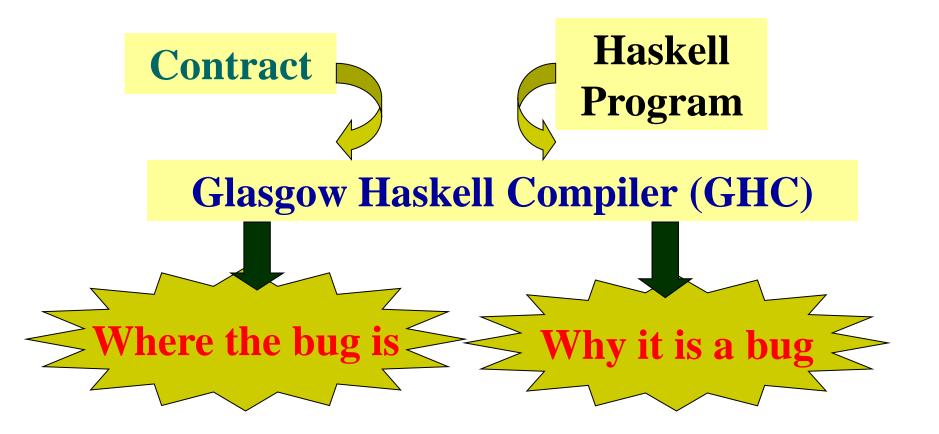
Tracing (Achieve the same goal as [Meunier, Findler, Felleisen:POPL06]



Counter-Example Generation

```
f3 [] z = 0
f1 \in x: Ok \rightarrow \{x < z\} \rightarrow Ok
                                        f3 (x:xs) z = case x > z of
f2 x z = 1 + f1 x z
                                                         True \rightarrow f2 x z
 f3 \triangleright Ok = \langle xs \rangle \langle z \rangle
                                                         False -> ...
  case xs of
  [] -> 0
  (x:y) \rightarrow case x > z of
              True -> Inside "f2'' < 12>
                            (Inside "f1" <11> (BAD "f1"))
              False -> ...
 Warning \langle 13 \rangle: f3 (x:y) z where x>z
                    calls f2
                    which calls f1
                    which may fail fl's precondition!
                                                                        50
```

Conclusion





- Static contract checking is a fertile and under-researched area
- Distinctive features of our approach
 - Full Haskell in contracts; absolutely crucial
 - Declarative specification of "satisfies"
 - Nice theory (with some very tricky corners)
 - Static proofs
 - Modular Checking
 - Compiler as theorem prover

Contract Synonym

contract Ok = {x | True}
contract NonNull = {x | not (null x)}

head :: [Int] \rightarrow Int head \in NonNull \rightarrow Ok head (x:xs) = x

Actual Syntax

{-# contract $Ok = \{x \mid True\} - \#\}$ {-# contract NonNull = {x | not (null x)} #-} {-# contract head :: NonNull -> Ok #-}

Recursion

f⊳t =\f->f ▷ t->t = ... =(... (f \triangleleft t)...) \triangleright t Suppose $t = t1 \rightarrow t2$ $f \triangleright t1 \rightarrow t2$ $= \int f > f > (t1 -> t2) -> (t1 -> t2)$ = ... $=(... (f \triangleleft t1 \rightarrow t2)...) \triangleright t1 \rightarrow t2$ =v2.((...(v1.((f (v1 > t1)) < t2)) (v2 < t1) ...) > t2))