

A right-to-left type system for mutually-recursive value definitions

Alban Reynaud, **Gabriel Scherer**, Jeremy Yallop

Parsifal, Inria Saclay, France

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Section 1

Problem

```
let rec fac = function
| 0 -> 1
| n -> n * fac (n - 1);;
(* val fac : int -> int = <fun> *)
fac 8;;
(* - : int = 40320 *)

let rec ones = 1 :: ones;;
(* val ones : int list = [1; <cycle>] *)
List.nth ones 10_000;;
(* - : int = 1 *)

let rec alot = 1 + alot;;
(* Error: This kind of expression is not allowed
   as right-hand side of 'let rec' *)
```

Almost-killer app: toy interpreter

```
Adder := Fun(x): Fun(y): x+y
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type ast = Var of var | ... | Fun of var * expr

type value = ... | Closure of env * var * expr

and env = (var * value) list

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Adder(1) →* closure([x ↦ 1], y ↦ x + y)
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```
type ast    = Var of var | ... | Fun of var * expr
type value  = ... | Closure of env * var * expr
and env     = (var * value) list
```

```
let rec eval env = function
| Var x -> List.assoc x env
| ...
| Fun (x, t) -> Closure(env, x, t)
```

Almost-killer app: toy interpreter

Factorial := FunRec(f,n): if n=0 then 1 else n*f(n-1)

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| Var x -> List.assoc x env
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| ...
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```
| Fun (x, t) -> Closure(env, x, t)
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```
| FunRec (f, x, t) ->
```

```
  (* Closure((f, ?) :: env, x, t) *)
```

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```
| Var x -> List.assoc x env
```

```
| ...
```

```
| Fun (x, t) -> Closure(env, x, t)
```

```
| FunRec (f, x, t) ->
```

```
  (* Closure((f, ?) :: env, x, t) *)
```

```
  let rec clo = Closure((f,clo) :: env, x, t) in clo
```

State of the OCaml art

OCaml manual → Language Extensions → Recursive definitions of values

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Complex syntactic description.

Not composable.

Hard to trust.

Did not age very well with new language features.

State of the OCaml art

PR#7231: check too permissive with nested recursive bindings

```
let rec r = let rec x () = r
              and y () = x ()
              in y ()
            in r "oops"
```

State of the OCaml art

PR#7215: Unsoundness with GADTs and let rec

```
let is_int (type a) : (int, a) eq =  
  let rec (p : (int, a) eq) =  
    match p with Refl -> Refl  
  in p
```

State of the OCaml art

PR#4989: Compiler rejects recursive definitions of values

```
let rec f = let g = fun x -> f x in g
```

State of the OCaml art

PR#6939: Segfault with improper use of let-rec and float arrays

```
let rec x = [| x |]; 1. in ()
```


Summary

Niche feature – too difficult to remove.

Fragile specification: bugs creeping in.

Time for science!

Section 2

Solution

The typical approach

We propose a *type system* to check recursive value definitions.

Our types are one of five *access modes* m , with a typing judgment $\Gamma \vdash t : m$. A recursive declaration is safe if the mode of the recursive variables is gentle enough.

The typing rules are formulated so that an algorithm can easily be extracted.

We wrote the corresponding code; it landed in the OCaml compiler ([#556](#), April 2016; [#1942](#), July 2018), fixing more bugs than we introduced.

The rest of this talk:

- the modes and typing rules
- how to prove soundness?
- other languages, related work, etc.

Access modes

The mode of x in t is:

Ignore : 1

Delay : $\lambda y. x$, lazy x .

Guard : $K(x)$

Return : x , let $y = e$ in x

Dereference : $1 + x$, $x y$, $f x$.

Access modes

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let rec $f = \lambda n. n * f (n - 1)$

let rec $o = \text{Cons}(1, o)$

let rec $x = 1 + x$

let rec $x = \text{let } y = x \text{ in } y$

f : Delay $\vdash \lambda n. n * f (n - 1)$: Return

o : Guard $\vdash \text{Cons}(1, o)$: Return

x : Dereference $\vdash 1 + x$: Return

x : Return $\vdash \text{let } y = x \text{ in } y$: Return

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$x : \text{Dereference} \vdash 1 + x : \text{Return}$

$x : \text{Return} \vdash \text{let } y = x \text{ in } y : \text{Return}$

Safety criterion: recursive variables must have mode Guard or less.

Mode typing judgment $\Gamma \vdash t : m$

Using t at mode Guard: $K(t)$.

Two readings of the judgment $x : m_x \vdash t : m$:

left-to-right : If x is safe at mode m_x , then t can be used at m .

right-to-left : Using t at m requires using x at m_x .

Right-to-left reading: t, m inputs, Γ output

$$x : ? \quad \vdash \text{Pair}(1, \text{fst } x) : \text{Return}$$

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$$\frac{\overline{\emptyset \vdash 1 : \text{Guard}} \quad \overline{x : ? \quad \vdash \text{fst } x : \text{Guard}}}{x : ? \quad \vdash \text{Pair}(1, \text{fst } x) : \text{Return}}$$

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Right-to-left reading: t, m inputs, Γ output

	$x : ?$	$\vdash x : \text{Dereference}$
$\emptyset \vdash 1 : \text{Guard}$	$x : ?$	$\vdash \text{fst } x : \text{Guard}$
$x : ?$		$\vdash \text{Pair}(1, \text{fst } x) : \text{Return}$

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Access modes algebra

The mode of x in $C[x]$: the mode action of the context $C[\square]$.

Ignore : 1

Delay : $\lambda y. \square$, lazy \square .

Guard : $K(\square)$

Return : \square , let $y = e$ in \square

Dereference : $1 + \square$, $\square y, f \square$.

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Total order: Ignore \prec Delay \prec Guard \prec Return \prec Dereference.

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Total order: Ignore \prec Delay \prec Guard \prec Return \prec Dereference.

Mode composition: $C[C'[\square]]$ has mode action $m[m']$.

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Dereference : $1 + \square$, $\square y, f \square$.

Total order: Ignore \prec Delay \prec Guard \prec Return \prec Dereference.

Mode composition: $C[C'[\square]]$ has mode action $m[m']$.

Ignore $[m]$ = Ignore = m [Ignore]

Delay $[m > \text{Ignore}]$ = Delay

Guard $[\text{Return}]$ = Guard

Guard $[m \neq \text{Return}]$ = m

Return $[m]$ = m

Dereference $[m > \text{Ignore}]$ = Dereference

Dereference $[\text{Delay}] \neq \text{Delay} [\text{Dereference}]$ $f(\lambda x. \square), \lambda x. (f \square)$

Access mode typing rules

$$\frac{}{\Gamma, x : m \vdash x : m} \qquad \frac{\Gamma \vdash t : m \quad m \succ m'}{\Gamma \vdash t : m'}$$

$$\frac{\Gamma, x : m_x \vdash t : m \text{ [Delay]}}{\Gamma \vdash \lambda x. t : m} \qquad \frac{\Gamma_t \vdash t : m \text{ [Dereference]} \quad \Gamma_u \vdash u : m \text{ [Dereference]}}{\Gamma_t + \Gamma_u \vdash t u : m}$$

$$\frac{(\Gamma_i \vdash t_i : m \text{ [Guard]})^i}{\sum (\Gamma_i)^i \vdash K(t_i)^i : m} \qquad \text{(pattern matching rules...)}$$

$$\frac{\Gamma_u, x : m_{x \in u} \vdash u : m}{? \quad \vdash \text{let rec } x = t \text{ in } u : m}$$

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$$\frac{\Gamma_t, x : m_{x \in t} \vdash t : \text{Return} \quad m_{x \in t} \leq \text{Guard} \quad m'_{x \in u} \stackrel{\text{def}}{=} \max(m_{x \in u}, \text{Guard}) \quad \Gamma_u, x : m_{x \in u} \vdash u : m}{m'_{x \in u} [\Gamma_t] + \Gamma_u \vdash \text{let rec } x = t \text{ in } u : m}$$

Section 3

Evaluation

Recap

Access modes $m ::= \text{Ignore} \mid \text{Delay} \mid \text{Guard} \mid \text{Return} \mid \text{Dereference}$

Typing judgment $\Gamma \vdash t : m$

Typing rules

$$\frac{\begin{array}{l} m_{x \in t} \leq \text{Guard} \\ \Gamma_t, x : m_{x \in t} \vdash t : \text{Return} \end{array} \quad \begin{array}{l} m'_{x \in u} \stackrel{\text{def}}{=} \max(m_{x \in u}, \text{Guard}) \\ \Gamma_u, x : m_{x \in u} \vdash u : m \end{array}}{m'_{x \in u} [\Gamma_t] + \Gamma_u \vdash \text{let rec } x = t \text{ in } u : m}$$

Implementation

What's left?

Soundness theorem

If $\emptyset \vdash t : \text{Return}$
and $t \rightarrow^* t'$
then t' is not going horribly wrong.

Soundness theorem

If $\emptyset \vdash t : \text{Return}$
and $t \rightarrow^* t'$
then t' is not going horribly wrong.

What's a good operational semantics for `letrec`?

Source-level approach

A source-level approach to 1etrec: explicit substitutions.

Hirschowitz, Leroy, and Wells (2003, 2009)

Nordlander, Carlsson, and Gill (2008)

Source term syntax

Terms $\ni t, u ::= x, y, z$
| let rec b in u
| $\lambda x. t$ | $t u$
| $K(t_i)^i$ | match t with h

Bindings $\ni b ::= (x_i = t_i)^i$
Handlers $\ni h ::= (p_i \rightarrow t_i)^i$
Patterns $\ni p, q ::= K(x_i)^i$

Values $\ni v ::= \lambda x. t \mid K(w_i)^i \mid L[v]$

WeakValues $\ni w ::= x \mid v \mid L[w]$

ValueBindings $\ni B ::= (x_i = v_i)^i$

BindingCtx $\ni L ::= \square \mid \text{let rec } B \text{ in } L$

Values $\ni v ::= \lambda x. t \mid K(w_i)^i \mid L[v]$	
WeakValues $\ni w ::= x \mid v \mid L[w]$	$F ::= \square t \mid t \square$
ValueBindings $\ni B ::= (x_i = v_i)^i$	$\mid K((t_i)^i, \square, (t_j)^j)$
BindingCtx $\ni L ::= \square \mid \text{let rec } B \text{ in } L$	$\mid \text{match } \square \text{ with } h$
	$\mid \text{let rec } b, x = \square, b' \text{ in } u$
	$\mid \text{let rec } B \text{ in } \square$
EvalCtx $\ni E ::= \square \mid E[F]$	
EvalFrame $\ni F$	

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 EvalCtx $\ni E ::= \square \mid E[F]$
 EvalFrame $\ni F$

$F ::= \square t \mid t \square$
 $\mid K((t_i)^i, \square, (t_j)^j)$
 $\mid \text{match } \square \text{ with } h$
 $\mid \text{let rec } b, x = \square, b' \text{ in } u$
 $\mid \text{let rec } B \text{ in } \square$

$$\frac{(x = v) \in^{\text{ctx}} E}{E[x] \rightarrow E[v]}$$

$$\frac{t \rightarrow^{\text{hd}} t'}{E[t] \rightarrow E[t']}$$

$$\begin{array}{l}
\text{Values } \ni v ::= \lambda x. t \mid K(w_i)^i \mid L[v] \\
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\\
\text{EvalCtx } \ni E ::= \square \mid E[F] \\
\text{EvalFrame } \ni F
\end{array}$$

$$\begin{array}{l}
F ::= \square t \mid t \square \\
\mid K((t_i)^i, \square, (t_j)^j) \\
\mid \text{match } \square \text{ with } h \\
\mid \text{let rec } b, x = \square, b' \text{ in } u \\
\mid \text{let rec } B \text{ in } \square
\end{array}$$

$$\frac{(x = v) \stackrel{\text{ctx}}{\in} E}{E[x] \rightarrow E[v]} \qquad \frac{t \rightarrow^{\text{hd}} t'}{E[t] \rightarrow E[t']}$$

$$\frac{(x = v) \stackrel{\text{frame}}{\in} F \quad \vee \quad (x = v) \stackrel{\text{ctx}}{\in} E}{(x = v) \stackrel{\text{ctx}}{\in} E[F]}$$

$$\frac{(x = v) \in B}{(x = v) \stackrel{\text{frame}}{\in} \text{let rec } B \text{ in } \square}$$

$$\frac{(x = v) \in (b \cup b')}{(x = v) \stackrel{\text{frame}}{\in} \text{let rec } b, y = \square, b' \text{ in } u}$$

$$\begin{array}{l}
\text{Values } \ni v ::= \lambda x. t \mid K(w_i)^i \mid L[v] \\
\text{WeakValues } \ni w ::= x \mid v \mid L[w] \\
\text{ValueBindings } \ni B ::= (x_i = v_i)^i \\
\text{BindingCtx } \ni L ::= \square \mid \text{let rec } B \text{ in } L \\
\\
\text{EvalCtx } \ni E ::= \square \mid E[F] \\
\text{EvalFrame } \ni F
\end{array}$$

$$\begin{array}{l}
F ::= \square t \mid t \square \\
\mid K((t_i)^i, \square, (t_j)^j) \\
\mid \text{match } \square \text{ with } h \\
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$$\frac{(x = v) \in (b \cup b')}{(x = v) \stackrel{\text{frame}}{\in} \text{let rec } b, y = \square, b' \text{ in } u}$$

$$\overline{L[\lambda x. t] \quad v \rightarrow^{\text{hd}} L[t[v/x]]}$$

$$\overline{\text{match } L[K(w_i)^i] \text{ with } (\dots \mid K(x_i)^i \rightarrow u \mid \dots) \rightarrow^{\text{hd}} L[u[(w_i/x_i)^i]}}$$

ForcingFrame $\ni F_f ::= \square v \mid v \square \mid \text{match } \square \text{ with } h$

ForcingCtx $\ni E_f ::= F_f \mid E[E_f] \mid E_f[L]$

Vicious $\stackrel{\text{def}}{=} \{E_f[x] \mid \nexists v, (x = v) \stackrel{\text{ctx}}{\in} E_f\}$

Theorem

If

$$\emptyset \vdash t : \text{Return}$$

and

$$t \rightarrow^* t'$$

then

$$t' \notin \text{Vicious}$$

Proof.

Subject Reduction. □

Section 4

Conclusion

Related Work

Backward analyses We describe them as type systems. Syntax!

Modal type theories This is an instance of one – uni-typed.

Modal type theories for (co)recursion We have a nice inference algorithm.

Degrees Elaborate systems for objects and ML functors, need to accept more programs. Not uni-typed.

Graphs as types We don't.

Operational semantics Best order vs. worst order.

For more details, see our full paper:

<https://arxiv.org/abs/1811.08134>

End.

- Tom Hirschowitz, Xavier Leroy, and J. B. Wells. [Compilation of extended recursion in call-by-value functional languages](#). In *PPDP*, 2003.
- Tom Hirschowitz, Xavier Leroy, and J. B. Wells. [Compilation of extended recursion in call-by-value functional languages](#). *Higher Order Symbol. Comput.*, 22(1), March 2009.
- Johan Nordlander, Magnus Carlsson, and Andy J. Gill. [Unrestricted pure call-by-value recursion](#). In *ML Workshop*, 2008.

Bonus slide: mutual recursion

$$\frac{(x_i : \Gamma_i)^i \vdash \text{rec } b \quad (m'_i)^i \stackrel{\text{def}}{=} (\max(m_i, \text{Guard}))^i \quad \Gamma_u, (x_i : m_i)^i \vdash u : m}{\sum (m'_i [\Gamma_i])^i + \Gamma_u \vdash \text{let rec } b \text{ in } u : m}$$

$$\frac{(\Gamma_i, (x_j : m_{i,j})^{j \in I} \vdash t_i : \text{Return})^{i \in I} \quad (m_{i,j} \preceq \text{Guard})^{i,j}}{(\Gamma'_i = \Gamma_i + \sum (m_{i,j} [\Gamma'_j])^j)^i \quad \Gamma'_i \vdash \text{rec } (x_i = t_i)^{i \in I}}$$