Gradual Typing: A New Perspective

With polymorphism, unions, intersections, and much more

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let map (condition : Bool) (f : $\alpha \rightarrow \beta$) (data :) : =

```
let map (condition : Bool) (f : α -> β) (data : ) : =
if condition then
List.map f data
else
Array.map f data
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let map (condition : Bool) (f : α -> β) (data : ?) : =
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Runtime checks or **casts** are then inserted **automatically** by the compiler.

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The transition is gradual:

 $? \preccurlyeq ? \rightarrow ? \preccurlyeq \texttt{Int} \rightarrow ? \preccurlyeq \texttt{Int} \rightarrow \texttt{Bool}$

Sometimes this gradualization is too coarse

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let map (condition : Bool) (f : α -> β) (data : ?) : ? =
if condition then
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else
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in
map (Random.bool ()) (fun x -> x) "Hello"
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This always fails!

We want to give the programmer a way to reject such cases **statically**, while still **accepting this function**.

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let map (condition : Bool) (f : α -> β)
(data : ) : =
    if condition then
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    else
      Array.map f data
```

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let map (condition : Bool) (f : \alpha \rightarrow \beta)
(data : (\alpha array \lor \alpha list)) : =
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if condition then
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Unfortunately, this is **not well-typed** without additional checks, since α array $\lor \alpha$ list $\nleq \alpha$ array.

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let map (condition : Bool) (f : \alpha \rightarrow \beta)
(data : (\alpha array \lor \alpha list)) : (\beta array \lor \beta list) =
if condition then
    if typeOf(data) = \alpha list then
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    else
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    (* Same for arrays *)
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This is safer, but extremely verbose.

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if x then 3 else true : Int \lor Bool

- In Semantic subtyping,

Types \simeq Sets of values Subtyping \simeq Set-containment

Set-theoretic types	Gradual types
Safe	Unsafe
Expressive	Too coarse
Verbose	Light
Restrictive	Permissive

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Can we get the **best of both worlds**?

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let map condition f
 (data : (α list ∨ α array) ) =
 if condition then
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let map condition f
 (data : (α list ∨ α array) ∧ ?) =
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- By subtyping, (\alpha list \vee \alpha array) \wedge ? \leq ?.
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- By subtyping, (α list $\vee \alpha$ array) \wedge ? \leq ?.
- Can only be used with lists or arrays
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- We want to infer all non-gradual types (including the return type!)

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2. Embed this relation into typing rules.

$$\frac{\Gamma \vdash e_1 : \tau_1 \to \tau_1' \quad \Gamma \vdash e_2 : \tau_2 \qquad \tau_2 \stackrel{\sim}{\leq} \tau_1}{\Gamma \vdash e_1 \; e_2 : \tau_1'}$$

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This gets even more complicated with set-theoretic types!

Declarative Systems

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Main idea: interpret occurrences of ? as arbitrary type variables.

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3. Embed materialization into more and more complex systems (Hindley-Milner, with subtyping, and with semantic subtyping).

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3. Embed materialization into more and more complex systems (Hindley-Milner, with subtyping, and with semantic subtyping).

Important remark: this translation is **only used** to define and compute relations, and **is not done in the source program**.

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And we define **materialization** (which is the inverse of **precision**, as defined in Garcia [2013]):

$$au_1 \preccurlyeq au_2 \iff \exists \mathcal{T}_1 \in \mathcal{D}(au_1), \sigma: \texttt{Vars}
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As well as gradual subtyping:

$$\tau_1 \leq \tau_2 \iff \exists (T_1, T_2) \in \mathcal{D}(\tau_1) \times \mathcal{D}(\tau_2), T_1 \leq_T T_2$$

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As opposed to consistent subtyping, it is transitive:

$$? \leq ?$$
 $? \not\leq Int$ Int $\not\leq ?$

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As opposed to consistent subtyping, it is transitive:

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It can be used to handle unions and intersections, by **simply plugging-in** the static version of **semantic subtyping**:

$$? \leq ? \lor \mathsf{Int} \qquad \mathsf{Int} \land ? \leq ?$$

?
$$\preccurlyeq \tau$$
 for every τ
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Therefore it can be embedded into a type system as a subsumption rule.

$$\frac{\Gamma, x : \tau \vdash x : \tau}{\Gamma, x : \tau \vdash x : \tau} \qquad \frac{\Gamma, x : \tau_1 \vdash e : \tau_2}{\Gamma \vdash \lambda x. e : \tau_1 \to \tau_2} \\
\frac{\Gamma \vdash e_1 : \tau_1 \to \tau_2 \qquad \Gamma \vdash e_2 : \tau_1}{\Gamma \vdash e_1 \; e_2 : \tau_2}$$



$$\begin{split} \frac{\Gamma, x : \forall \vec{\alpha}. \tau \vdash x : \tau \{ \vec{\alpha} := \vec{t} \}}{\Gamma \vdash e_1 : \tau_1 \rightarrow \tau_2} & \frac{\Gamma, x : \tau_1 \vdash e : \tau_2}{\Gamma \vdash \lambda x. e : \tau_1 \rightarrow \tau_2} \\ \frac{\Gamma \vdash e_1 : \tau_1 \rightarrow \tau_2 \qquad \Gamma \vdash e_2 : \tau_1}{\Gamma \vdash e_1 \; e_2 : \tau_2} \\ \frac{\Gamma \vdash e_1 : \tau_1 \qquad \Gamma, x : \operatorname{Gen}_{\Gamma}(\tau_1) \vdash e_2 : \tau}{\Gamma \vdash \operatorname{let} x = e_1 \; \operatorname{in} e_2 : \tau} \end{split}$$

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$$\frac{\Gamma, x : \forall \vec{\alpha}.\tau \vdash x : \tau\{\vec{\alpha} := \vec{t}\}}{\Gamma \vdash e_1 : \tau_1 \rightarrow \tau_2} \qquad \frac{\Gamma \vdash e : \tau_2}{\Gamma \vdash \lambda x.e : \tau_1 \rightarrow \tau_2} \\
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And as a bonus, we get the static gradual guarantee for free!

```
\mathsf{\Gamma} \vdash \mathtt{data} : (\alpha \texttt{ array} \lor \alpha \texttt{ list}) \land ?
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By subtyping:

 $(\alpha \operatorname{array} \lor \alpha \operatorname{list}) \land ? \leq ?$

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Hence $\Gamma \vdash$ data : α array

 \implies Array.map f data is well-typed.

Back to the Example (2/2)

Now from the outside, consider a partial application f:

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Simply materializing ? does not work:

 $((\alpha \text{ array} \lor \alpha \text{ list}) \land \text{ string}) = \varnothing$

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```

Subtyping cannot be used either as it is **contravariant in the domain**:

$$((\alpha \texttt{ array} \lor \alpha \texttt{ list}) \land ?) \to \texttt{t} \nleq ? \to \texttt{t}$$

We **do not have consistency** anymore, and materialization only allows us to go **one way**.

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Propositions.

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2- Conversely, every typable term in our system **can be given a** less-precise type in the system of Siek & Taha [2006].

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Propositions.

1- Every typable term in the system of Siek & Taha [2006] can be given the same type in our system.

2- Conversely, every typable term in our system can be given a less-precise type in the system of Siek & Taha [2006].
3- Same results for the polymorphic system of Garcia & Cimini [2015].

$$(\lambda x : ?.x \langle ? \stackrel{l_1}{\Rightarrow} \mathsf{Int} \rangle + 1) (\mathsf{true} \langle \mathsf{Bool} \stackrel{l_2}{\Rightarrow} ? \rangle)$$

 $\hookrightarrow \mathsf{true} \langle \mathsf{Bool} \stackrel{l_2}{\Rightarrow} ? \rangle \langle ? \stackrel{l_1}{\Rightarrow} \mathsf{Int} \rangle + 1$
 $\hookrightarrow \mathsf{blame} \ l_1$

$$\begin{split} (\lambda x:?.x\langle? \stackrel{l_1}{\Rightarrow} \mathsf{Int}\rangle + 1) \; (\texttt{true}\langle \mathsf{Bool} \stackrel{l_2}{\Rightarrow}?\rangle) \\ & \hookrightarrow \mathsf{true}\langle \mathsf{Bool} \stackrel{l_2}{\Rightarrow}?\rangle\langle? \stackrel{l_1}{\Rightarrow} \mathsf{Int}\rangle + 1 \\ & \hookrightarrow \texttt{blame} \; l_1 \end{split}$$

$$\begin{array}{l} (\lambda x: \mathsf{Int}.x+1) \ \langle \mathsf{Int} \to \mathsf{Int} \stackrel{I_1}{\Rightarrow} ? \to ? \rangle (\mathsf{true} \langle \mathsf{Bool} \stackrel{I_2}{\Rightarrow} ? \rangle) \\ & \hookrightarrow (\mathsf{true} \langle \mathsf{Bool} \stackrel{I_2}{\Rightarrow} ? \rangle \langle ? \stackrel{\overline{h}}{\Rightarrow} \mathsf{Int} \rangle + 1) \langle \mathsf{Int} \stackrel{I_1}{\Rightarrow} ? \rangle \\ & \hookrightarrow \mathsf{blame} \ \overline{l_1} \end{array}$$

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Blame tells us where an error occurred, and in which way the boundary was crossed.

$$\frac{\Gamma \vdash e : \tau_1 \qquad \tau_1 \preccurlyeq \tau_2}{\Gamma \vdash e : \tau_2}$$

$$\frac{\Gamma \vdash e : \tau_1 \mapsto e' \quad \tau_1 \preccurlyeq \tau_2}{\Gamma \vdash e : \tau_2 \mapsto e' \ \langle \tau_1 \stackrel{I}{\Rightarrow} \tau_2 \rangle}$$

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Casts of the form $\langle Int \rightarrow ? \stackrel{l}{\Rightarrow} ? \rightarrow Int \rangle$ are forbidden.

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Casts of the form $(Int \rightarrow ? \stackrel{l}{\Rightarrow} ? \rightarrow Int)$ are forbidden.

Moreover, the direction of the cast **can be enforced** in the typing rules:

$$\frac{\Gamma \vdash e : \tau_1}{P = \overline{l}} \begin{cases} p = l \implies \tau_1 \preccurlyeq \tau_2 \\ p = \overline{l} \implies \tau_2 \preccurlyeq \tau_1 \\ \hline \Gamma \vdash e \langle \tau_1 \stackrel{R}{\Rightarrow} \tau_2 \rangle : \tau_2 \end{cases}$$

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Blame safety is an important result of the cast language that states that only the dynamically-typed part of the code can cause errors.

We only insert casts when crossing from dynamic to static code, and precisely control the direction of each cast throughout the execution. This makes proving blame safety straightforward. - By interpreting ? as a type variable, we can define relations on gradual types **using existing definitions** on static types.

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- We highlight a **direct correspondence** between compilation and type derivations.

 The declarative systems enjoy many (almost) free theorems (blame safety, type preservation, static gradual guarantee).

Algorithmic Systems

static types
$$\mathcal{T}_t \ni t ::= \alpha \mid b \mid t \times t \mid t \to t$$

gradual types $\mathcal{T}_\tau \ni \tau ::= ? \mid \alpha \mid b \mid \tau \times \tau \mid \tau \to \tau$
source language $e ::= x \mid c \mid \lambda x. e \mid \lambda x: \tau. e \mid e \mid e \mid (e, e) \mid \pi_i \mid e$
 $\mid \text{let } \vec{\alpha} \mid x = e \text{ in } e$
cast language $E ::= \lambda^{\tau \to \tau} x. E \mid \text{let } x = E \text{ in } E \mid \Lambda \vec{\alpha}. E \mid E \mid \vec{t} \mid E \langle \tau \stackrel{B}{\to} \tau \rangle \mid \dots$

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- Our inference algorithm **only uses unification**, which differs from Garcia and Cimini [2015].

- We generate structured constraints, rewrite them to obtain a set of unification and materialization constraints, and solve them by unification.

Note: we **never infer gradual types**, they can only be introduced by **explicit annotations**.

We first generate constraints of the form¹:

 $C ::= (t \leq t) \mid (\tau \leq \alpha) \mid (x \leq \alpha) \mid \mathsf{def} \ x \colon \tau \ \mathsf{in} \ C \mid \exists \vec{\alpha}. \ C \mid C \land C$

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$$\langle\!\langle x : t \rangle\!\rangle = \exists \alpha. \ (x \preccurlyeq \alpha) \land (\alpha \leq t)$$
$$\langle\!\langle (\lambda x. e) : t \rangle\!\rangle = \exists \alpha_1, \alpha_2. \ (def \ x : \alpha_1 \text{ in } \langle\!\langle e : \alpha_2 \rangle\!\rangle) \land (\alpha_1 \preccurlyeq \alpha_1) \land (\alpha_1 \rightarrow \alpha_2 \leq t)$$
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Note that $\langle\!\langle (\lambda x: ?, x) : \text{Int} \to \text{Int} \rangle\!\rangle$ can be solved, whereas $\langle\!\langle (\lambda x. x) : ? \to ? \rangle\!\rangle$ cannot.

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$$\frac{(\Gamma, x: \tau); \Delta \vdash C \rightsquigarrow D}{\Gamma; \Delta \vdash \det x: \tau \text{ in } C \rightsquigarrow D}$$

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For example, the constraint

$$\textbf{?} \rightarrow \textbf{?} \rightarrow \textbf{?} \precsim \mathsf{Bool} \rightarrow \alpha$$

will become

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and solving it will return the unifier

$$\theta: X_1 \mapsto \mathsf{Bool}; X_2 \mapsto \beta; X_3 \mapsto \gamma; \alpha \mapsto (\beta \to \gamma)$$

This derivation and the associated unifier can be used to compile e in a straightforward way: to every materialization constraint introduced in \mathcal{D} corresponds a cast.

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$$\|x\|_{\theta}^{\mathcal{D}} = x \langle \tau \theta \stackrel{I}{\Rightarrow} \alpha \theta \rangle \quad \text{if} \quad \mathcal{D} = \Gamma; \Delta \vdash \langle\!\langle x \colon t \rangle\!\rangle \rightsquigarrow \{ (\tau \stackrel{.}{\preccurlyeq} \alpha), (\alpha \stackrel{.}{\leq} t) \}$$

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Inference (and compilation) for this system is **sound**, **type-preserving** and **complete** w.r.t. the declarative system.

Constraint generation is also unchanged, unification constraints just become **subtyping constraints**.

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For example,

fun x \rightarrow if (fst x) then (1 + snd x) else x

should be of type (BoolimesInt) \rightarrow (Int | (BoolimesInt))

The types become:

 $\begin{array}{ll} \text{static types} & t ::= \alpha \mid b \mid t \times t \mid t \to t \mid t \lor t \mid \neg t \mid \mathbb{0} \\ \text{gradual types} & \tau ::= ? \mid \alpha \mid b \mid \tau \times \tau \mid \tau \to \tau \mid \tau \lor \tau \mid \neg \tau \mid \mathbb{0} \end{array}$

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Constraints are **unchanged**. However, the inference algorithm is now based on the **tallying algorithm** of Castagna et al. [2015], rather than unification (but the principle is the same).

$$\{(\alpha \leq t_1), (\alpha \leq t_2)\} \simeq \{(\alpha \leq t_1 \land t_2)\}$$

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$$\{(\alpha \stackrel{\cdot}{\leq} t_1), (\alpha \stackrel{\cdot}{\leq} t_2)\} \simeq \{(\alpha \stackrel{\cdot}{\leq} t_1 \wedge t_2)\}$$

Soundness still holds for the inference algorithm, but completeness no longer holds.

However, we show that it reduces in linear time to subtyping on static types.

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This is enough to decide subtyping

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 $gnd(\tau_1 \rightarrow \tau_2) = ? \rightarrow ?$ $gnd(\tau_1 \times \tau_2) = ? \times ?$ gnd(b) = b

Adding subtyping is just a matter of allowing $\rho \leq \rho'$.

Adding set-theoretic types is more complicated, mostly because we need to take into account unions and intersections containing ?. Adding set-theoretic types is more complicated, mostly because we need to take into account unions and intersections containing ?.

We defined a grounding operator τ_1/τ_2 to compute the intermediate type of a cast.

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 $[\mathsf{ExpandL}] \quad V\langle \tau_1 \stackrel{P}{\Rightarrow} \tau_2 \rangle \quad \hookrightarrow \quad V\langle \tau_1 \stackrel{P}{\Rightarrow} \tau_1 / \tau_2 \rangle \langle \tau_1 / \tau_2 \stackrel{P}{\Rightarrow} \tau_2 \rangle$

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 $(\mathsf{Int} \to \mathsf{Int}) \lor (\mathsf{Bool} \to \mathsf{Bool}) / (\mathsf{Int} \to \mathsf{Int}) \lor \ref{eq: total stress} = (\mathsf{Int} \to \mathsf{Int}) \lor (\ref{eq: total stress} \to \ref{eq: total stress})$

$$[\mathsf{ExpandL}] \quad V\langle \tau_1 \stackrel{P}{\Rightarrow} \tau_2 \rangle \quad \hookrightarrow \quad V\langle \tau_1 \stackrel{P}{\Rightarrow} \tau_1 / \tau_2 \rangle \langle \tau_1 / \tau_2 \stackrel{P}{\Rightarrow} \tau_2 \rangle$$

The full semantics are **conservative**, but complicated and contain **six additional rules to handle corner cases**.

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 We also gave a sound inference algorithm for an extension of this language with set-theoretic types, which reuses the tallying algorithm.

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 We also gave a sound inference algorithm for an extension of this language with set-theoretic types, which reuses the tallying algorithm.

- We provided **sound semantics** for a cast calculus with set-theoretic gradual types and polymorphism.

Thanks for listening!

Comments, questions, suggestions?